Meeting on the Development of an electronic application form

UPOV/EAF/10/3

Tenth Meeting Geneva, October 27, 2017 Original: English Date: April 19, 2018

REPORT

adopted by the Meeting on the Development of an electronic application form

Disclaimer: this document does not represent UPOV policies or guidance

WELCOME AND OPENING

1. The tenth meeting on the development of an electronic form (EAF/10 meeting) was opened and chaired by Mr. Peter Button, Vice Secretary-General of UPOV, who welcomed the participants in Geneva and those who participated in the meeting by means of electronic conference.

2. The list of participants is reproduced in Annex I to this report.

APPROVAL OF THE AGENDA

3. The meeting adopted the draft agenda as proposed in document UPOV/EAF/10/1 Rev.

DEVELOPMENTS CONCERNING THE ELECTRONIC APPLICATION FORM PROJECT

4. The meeting considered document UPOV/EAF/10/2 "Developments concerning the Electronic Application Form" and received a presentation by the Office of the Union on the latest developments in relation to the electronic form, a copy of which is reproduced in Annex II to this document.

<u>Name</u>

5. The participants noted that the Council at its fifty-first ordinary session held in Geneva on October 26, 2017 agreed with the new name for the EAF tool as "UPOV PRISMA" (Plant variety data Routing Information System using Multilingual Application forms) and the following logo:



Versions of the EAF

6. The participants noted that the current version of the EAF was Version 1.1. It was reported that the next version (Version 2.0) of UPOV PRISMA (EAF) was planned to be released beginning of 2018.

Version 1.1

Participating UPOV members

Authority	Soya Bean	Lettuce	Apple fruit varieties	Rose	Potato	Total
Argentina	√	-	~	\checkmark	~	4
Australia	√	\checkmark	~	\checkmark	~	5
Chile	√	\checkmark	~	\checkmark	~	5
China	-	\checkmark	-	\checkmark	-	2
Colombia	-	-	-	\checkmark	-	1
France	√	\checkmark	~	\checkmark	~	5
Kenya	√	\checkmark	~	\checkmark	~	5
Netherlands	✓	\checkmark	✓	\checkmark	✓	5
New Zealand	-	\checkmark	\checkmark	\checkmark	\checkmark	4
Norway	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	5
Republic of Moldova	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	5
Switzerland	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	5
Tunisia	√	\checkmark	✓	\checkmark	✓	5
Turkey	\checkmark	\checkmark	\checkmark	\checkmark	\checkmark	5
United States of America	\checkmark	\checkmark	-	-	\checkmark	3
Uruguay	√	-	\checkmark	-	\checkmark	3
16	13	13	13	14	14	

7. The participants noted that the following participating PVP Offices and crops were supported in EAF Version 1.1:

Languages

8. The participants noted that all webpages and items (questions) for all application forms and technical questionnaires for all participating PVP Offices were available in English, Chinese, French, German and Spanish ("navigation languages").

9. The participants noted that EAF Version 1.1 generated application forms and technical questionnaires in the languages required by the participating PVP Offices ("output form languages"). In Version 1.1, the output form languages were English, French, German, Spanish, Chinese, Norwegian, Romanian and Turkish.

10. The participants noted that the information needed to be completed by the applicant in an acceptable language for the PVP Office concerned, although answers selected from drop-down lists (e.g. characteristics and states of expression) would be automatically translated for the navigation languages.

Style sheet for the application form

11. The participants noted that, where requested by PVP Offices, it would be possible to have a customized format for the application form to be received by the PVP Office (e.g. PVP Office logo) if the relevant information was provided according to a specified format.

Version 2.0

Participating UPOV members

11. The participants noted that the following UPOV members had expressed their intention to participate in Version 2.0 of UPOV PRISMA (EAF) (Circular E-17/132):

Authority		currently participating in Version 1.0 or 1.1	intention to participate in Version 2.0	Anticipated crop coverage in Version 2.0
Argentina	AR	\checkmark	\checkmark	Apple Fruit Varieties, Potato, Rose, Soyabean and Wheat, Barley, Maize, Grapevine
Australia	AU	\checkmark	\checkmark	All genera & species
Bolivia (Plurinational State of)	во		\checkmark	Rice, wheat
Canada	CA		\checkmark	All genera & species excluding algae, bacteria and fungi
Chile	CL	\checkmark	\checkmark	All genera & species
China	CN	\checkmark	\checkmark	Lettuce, Rose
Colombia	со	\checkmark	\checkmark	Rose, Carnation, Alstroemeria, Chrysanthemum, Gypsophila
European Union	QZ		\checkmark	Apple Fruit Varieties, Lettuce, Potato, Rose, Soyabean
France	FR	\checkmark	\checkmark	All genera & species
Georgia	GE		~	Maize, Wheat, Field Bean, French Bean, Apple (fruit varieties), Pear, Barley, Oats, Potato, Cherry (Sweet Cherry), Raspberry, Tomato, Peach, Hazelnut, Blackberry, Soya Bean, Sunflower, Walnut, Blueberry, Chick-Pea, Lentil
Japan	JP		\checkmark	to be confirmed
Kenya	KE	\checkmark	\checkmark	All genera & species
Mexico	MX		\checkmark	87 selected crops
Netherlands	NL	\checkmark	\checkmark	All genera & species
New Zealand	NZ	\checkmark	\checkmark	All genera & species
Norway	NO	\checkmark	\checkmark	All genera & species
Paraguay	ΡY		\checkmark	Soyabean
Republic of Moldova	MD	\checkmark	\checkmark	Maize, Wheat, Pea, Barley, European Plum, Tomato, Grapevine, Sweet Pepper, Hot Pepper, Paprika, Chili, Sunflower, Walnut, Apple Fruit Varieties, Lettuce, Potato, Rose, Soyabean
Switzerland	СН	\checkmark	\checkmark	All genera & species
Tunisia	ΤN	\checkmark	\checkmark	All genera & species
Turkey	TR	\checkmark	\checkmark	All genera & species
United States of America	US	\checkmark	\checkmark	Lettuce, Potato, Soyabean and Wheat
Uruguay	UY	\checkmark	\checkmark	All genera & species
Total		16	23	

Crops/species

12. The participants noted that the three possible approaches for including crop/species for participating members of the Union, would be:

Approach 1: UPOV Technical Questionnaire (TQ)

The TQ in UPOV PRISMA would be identical to the TQ in the adopted UPOV Test Guidelines (TGs). For crops/species for which there is no adopted UPOV TGs, the TQ in UPOV PRISMA would be based on the structure of the TQ in document TGP/7 "Development of Test Guidelines".

Approach 2: Customized characteristics

The TQ in UPOV PRISMA would be the same for all crops (non crop-specific) except for "characteristics of the variety" (Section 5 of the UPOV TQ or equivalent) and "similar varieties and differences from these varieties" (Section 6 of the UPOV TQ or equivalent)). The characteristics in the TQ in UPOV PRISMA would be:

- (i) UPOV TG characteristics,
- (ii) Authority specific characteristics
- (iii) Free text information

Approach 3: Customized TQ

The TQ in UPOV PRISMA would contain crop-specific sections other than those specified in Approach 2.

13. The participants noted that according to the approach, the number of crop and species that could be covered for each authority in future versions of the tool would be different. For Approach 1, all crops could be easily covered. For Approaches 2 and 3 considerably more time would be needed and new crops would be added according to available resources and the degree of customization that was required.

Functionalities

Breeding Scheme

14. The participants noted that the breeding scheme section in Version 2.0 of the tool would be available with a pre-defined list of options. Other options might be considered for development in a subsequent version.

Novelty

15. The participants noted that for novelty requirements, each participating authority should indicate, if appropriate, which one(s) of the supported crops were considered by that authority to be vines or trees in terms of novelty. The participants noted that, by default, 4 years would be used in the system.

Scope of plants

16. The participants noted that for the definition of what was considered to be a "plant" (e.g. in relation to fungi, algae, bacteria), the tool would reflect the information in GENIE database on the basis of the information provided by the relevant UPOV member in document C/51/6.

Financing

17. The participants noted that a proposal for the financing of the EAF had been made to the Consultative Committee at its ninety-fourth session, held in Geneva, on October 25, 2017 and, agreed by the Council at its fifty-first ordinary session, held in Geneva on October 26, 2017 (see document "Report" C/51/22). It noted, in particular, that the UPOV Council had agreed that the EAF could be made free of charge for an introductory period.

Communication

18. In relation to communication, the participants noted that:

(a) at the launch of the EAF, on January 9, 2017, a webpage had been created for the EAF, available at: <u>http://www.upov.int/upoveaf</u>, containing all necessary information to access and use the EAF;

(b) at the launch of the EAF, the Office of the Union had informed breeders' organizations and PVP Offices on the availability of the system (see Circulars E-17/007, E-17/008 and E-17/009), also inviting them to inform all their relevant stakeholders;

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(c) the following communication materials had been developed and transmitted to requesting UPOV members and breeders' organizations (still available on demand):

- o posters and roll-up banners in English, Spanish and Chinese,
- o flyers in English, French, Spanish and Chinese,
- a series of tutorials (in English), also posted on the UPOV website, with subtitles in French, Spanish, Arabic, Chinese, Japanese and Korean;

(d) 5 meetings (including online sessions & webinars) had been organized for individual applicants or groups of applicants upon request;

(e) presentations had been made at the following meetings: ISF congress, EAPVP Forum, ESA congress, AOHE Annual meeting and SAA congress;

(f) a LinkedIn page had been created (<u>https://www.linkedin.com/showcase/24973258/</u>);

(g) the Office of the Union had invited PVP Offices to disseminate the information on the availability of the EAF tool to potential users (e.g. breeders, representatives, agents, etc.) and to add a link to the EAF on their own websites (see Circular E-17/138);

(h) briefing sessions in English, French and Spanish had been organized at the fringes of the UPOV sessions held in Geneva in October 2017 (see Circular E-17/139).

19. In relation to communication plan for 2018, the participants agreed that the following elements should be developed:

- targeted communication to potential users of the UPOV EAF (e.g. agents, representatives, breeding companies, breeders' associations);
- training sessions (in situ and online);
- participation in international breeders' events (ISF, AOHE, CIOPORA, ASTA...);
- updated communication materials (posters, flyers, tutorials) with the new name and logo and the new coverage of crops and countries;
- press articles;
- update of the UPOV EAF PBR webpage with new testimonials from breeders and PVP Offices;
- inclusion of information in existing UPOV and UPOV members' training programs.

DATE OF NEXT MEETING

20. The next meeting of the EAF (EAF/11 meeting) was agreed to be held in Geneva on the morning of March 28, 2018.

21. This report was adopted by correspondence.

[Annexes follow]

UPOV/EAF/10/3

ANNEXE I / ANNEX I / ANEXO I

LISTE DES PARTICIPANTS / LIST OF PARTICIPANTS / LISTA DE PARTICIPANTES

(dans l'ordre alphabétique des noms français des membres / in the alphabetical order of the French names of the Members / por orden alfabético de los nombres en francés de los miembros)

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> [L'annexe II suit/ Annex II follows/ Sigue el Anexo II]

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ANNEX II / ANNEXE II / ANEXO II (in English only / en anglais uniquement / solo en inglés)

Meeting on the development of an Electronic Application Form

Document EAF/10/2 "Developments concerning the electronic application form"

> Geneva October 27, 2017

UPOV International Union for the Protection of New Varieties of Plants

PREVIEW

2

- Background
- EAF Version 1.1 Status
- Future developments
 - Version 2.0 (January 2018)
 - Version 2.1 (Mid 2018)
- Financing of the EAF
- Communication
- Next steps

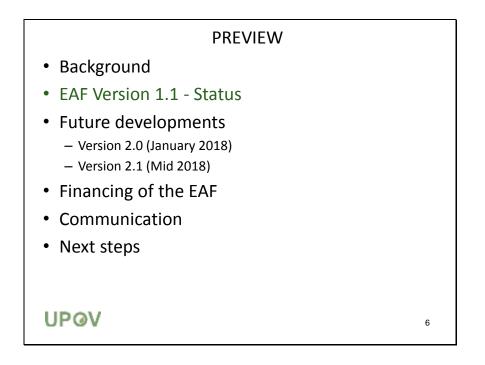


UPOV - PBR Application Tool: **PRISMA Key benefits**

- Online PBR application tool to provide application data to participating PVP Offices in required format (hard copy, e-mail notification, system-to-system)
- Application forms can be displayed in range of languages (answers must be provided in an acceptable language for the PVP Officesome information in the TQ will be automatically translated in all navigation languages)
- Variety data can be re-used in subsequent applications
- Different user roles can be specified (e.g. drafter, signatory, translator, agent, procedural representative)
- Controlled access to all PBR application data
- Secure and confidential
- Easy access to PVP application forms
- Track your applications...

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UPOV members PB	(16) and R Applic			y covere	d by
AUTHORITY	APPLE FRUIT VARIETIES	LETTUCE	ΡΟΤΑΤΟ	ROSE	SOYABEAN
Argentina	V		V	\checkmark	\checkmark
Australia	V	V	V	\checkmark	\checkmark
Chile	V	V	V	\checkmark	√
China		V		V	
Colombia				\checkmark	
France	V	V	V	\checkmark	\checkmark
Kenya	V	V	V	\checkmark	\checkmark
Netherlands	V	V	V	\checkmark	\checkmark
New Zealand	V	V	V	V	
Norway	V	V	V	V	\checkmark
Republic of Moldova	1	V	V	\checkmark	\checkmark
Switzerland	V	V	V	\checkmark	\checkmark
Tunisia	1	V	V	\checkmark	\checkmark
Turkey	V	V	V	\checkmark	\checkmark
United States of America		V	V		\checkmark
Uruguay	1		V		√ 8

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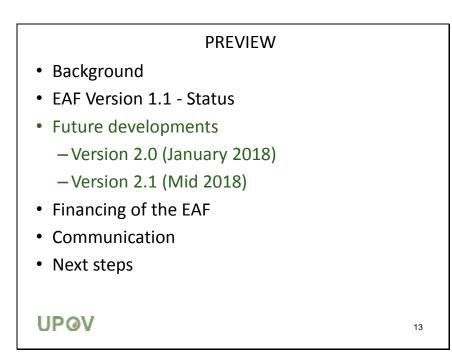


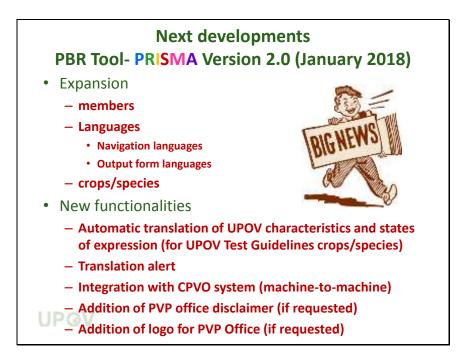
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Status on the use of the EAF as of October 20, 2017 10 entities registered in the EAF 8 applications submitted: by 3 entities for lettuce, apple and potato in Australia, Netherlands, New Zealand and Switzerland

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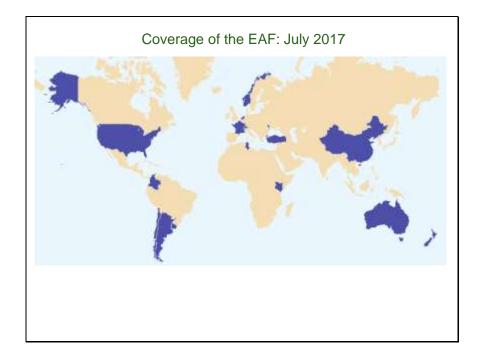


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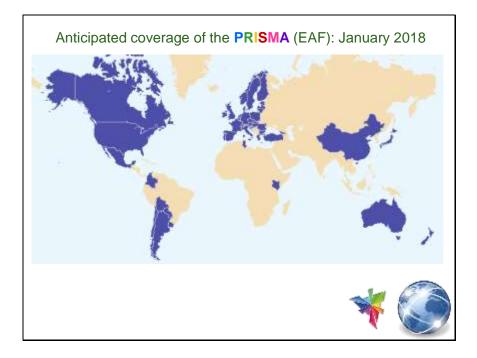
Anticipated new participating authorities in test campaigns for V 2.0

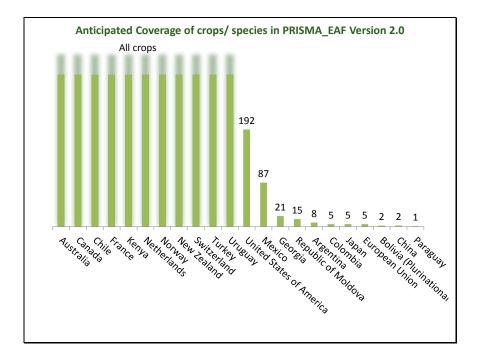
- Bolivia (Plurinational State of)
- Canada
- European Union
- Georgia
- (Japan) : Japanese as navigation language
- Mexico
- Paraguay

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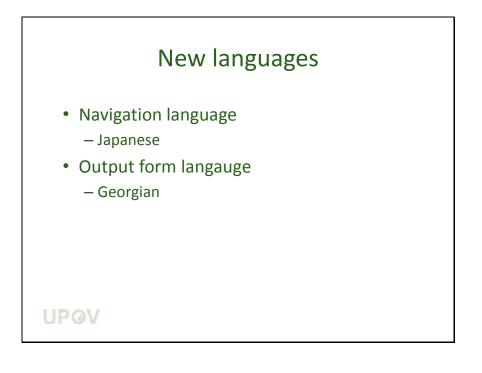


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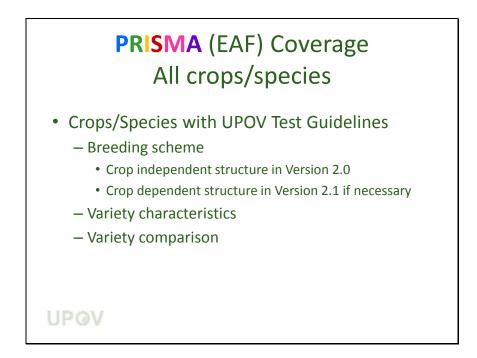
PRISMA (EAF) Coverage All crops/species

- Search by:
 - Botanical name
 - UPOV Code
 - Common name (in 5 languages)

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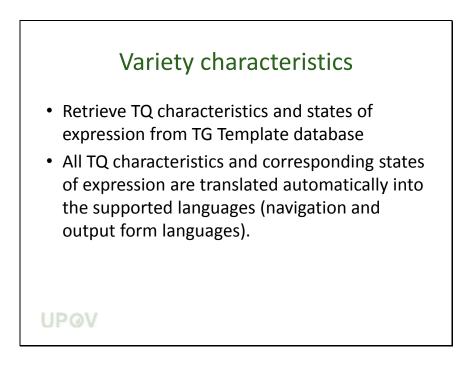
UPOV/EAF/10/3 Annex II / Annexe II / Anexo II page 12 / página 12

Breeding Scheme
In Version 2.0 the breeding scheme will be generic, containing all options (Crop independent structure)
Information on the breeding scheme and propagation of the variety
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 Variety resulting from mutation 4.1.2
Satisfy resulting from docovery and development (1.1)
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Non-aponictic variety +2			
D Other +2			-
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Method of propagating the variety			
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Characteristics of	the variety to be indicated	
corresponde).	refers to the corresponding characteristic in Test Guidelines; please mark the note which best	
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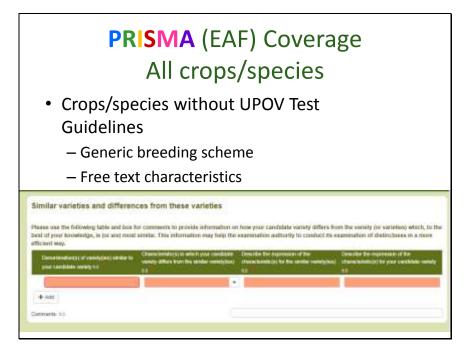
Variety comparison

- EAF allows users to select characteristics and states of expression from a list of adopted characteristics and corresponding states of expression as defined in chapter 8.
- All characteristics and corresponding states of expression are translated automatically into the supported languages (navigation and output form languages).

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	variety c	omparison	
Similar varieties and diffe	erences from these variet	es	
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	UPOV 66 Light UPOV 67 Light UPOV 68 Light	nprost: publisacience of basis nprost: size of bp in relation to basis payment: halok of typ nprost: aetheocymen colonidans of bp	
	UPOV 18: Light UPOV 11: Light	spraut publeocence of 6p spraut mariter M cool type spoul, length of lateral shouts folloge stratates	
	UPOV 12 Plan UPOV 14 Shert	growth halist anthocyanist coloradios	



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System to System communication Reasons

- Your PVP office has already an online system
- Need to process automatically received applications
- Breeder's need to import data from your system to PRISMA (EAF).

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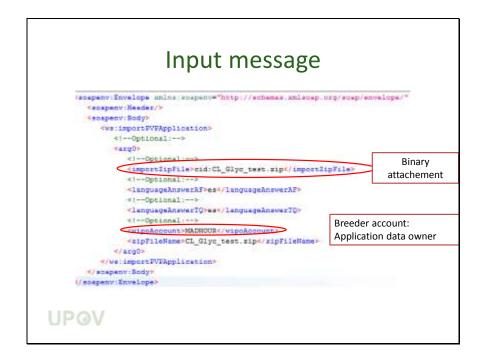
UPOV/EAF/10/3 Annex II / Annexe II / Anexo II page 17 / página 17

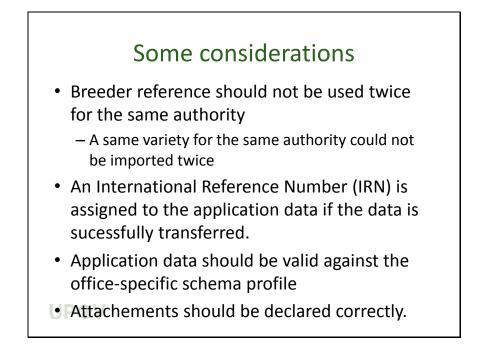
PRISMA Web services
Export Web service
 From PRISMA (EAF) to PVP office system
Authorization
– PVP office admin
– PVP officer
Available at:
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<authority>QZ</authority> Optional:	
Optional' <doctype>XML</doctype>	
	XML, PDF or both
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Imported application data

 It can be copied and reused to draft applications for the same variety in other authorities.

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Integration with CPVO system From CPVO to EAF

- The data will be available for copy and reuse in other applications using the EAF.
- An International Reference Number (IRN) is assigned to the imported data and can be used to submit other applications for the same variety.

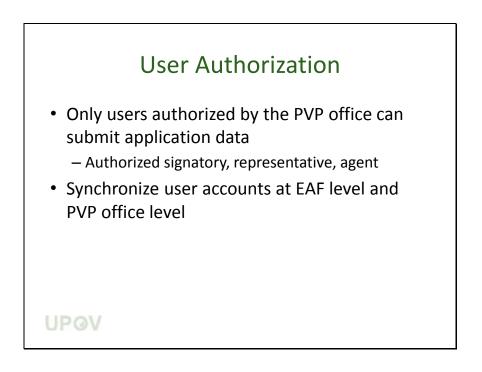
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Integration with CPVO system From CPVO to EAF

• The authorized EAF user (linked with CPVO user) can choose to import data submitted to the CPVO system into EAF system.

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Integration with CPVO system From EAF to CPVO

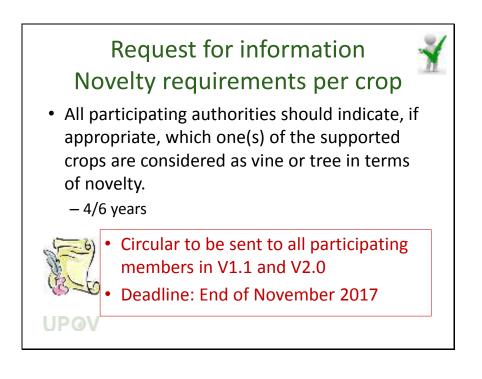
- The breeder needs to link their WIPO account with their CPVO account
- Only authorized clients of the CPVO system can submit application data to CPVO using EAF.
- The link is established at «Edit Profile» page

<u>NOTE</u>: It will not be possible to select CPVO as authority if the link is not established by the breeder.

Other new functionalities

- Add PVP Office disclaimer
 - France
 - United States of America
 - Georgia
- Add logo
 - Chile
 - France
 - (Argentina)

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Request for information on Scope of «plants» e of «plants» (e.g. in relation to fung

• Scope of «plants» (e.g. in relation to fungi, algae, bacteria etc) in EAF will reflect the scope in GENIE Database on the basis of the information provided in Document C/51/6



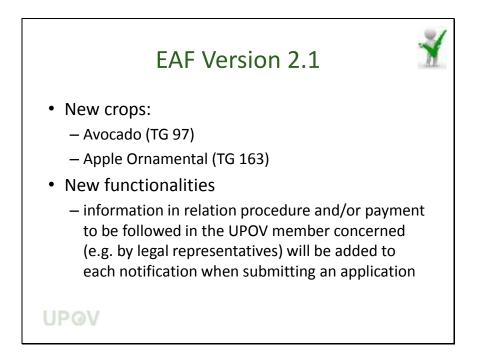
To note and agree



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Tentati	ive Timeta	ble for EAF Version 2.0 🌱				
Authority	From/To	Milestone Release (MR) Contents/ Functionalities				
CPVO	19/10/2017 to 08/11/2017	Integration with CPVO: from CPVO to EAF IMPORT web service				
CPVO	09/11/2017 to 08/12/2017	Integration with CPVO: from EAF to CPVO Customer validation Form generation 				
β	09/11/2017 to 08/12/2017	Add Japanese as navigation language				
ALL	11/12/2017 to 22/12/2017	TEST Final Release				
UPØV	• 15/01	AF Version 2.0: /2018 for PVP offices /2018 for breeders				

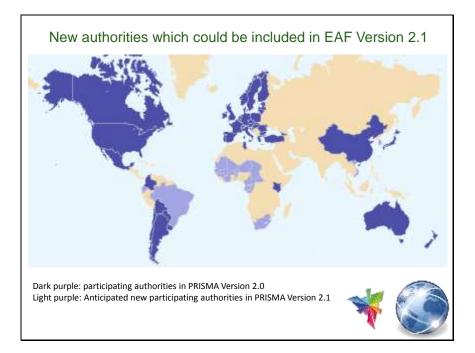


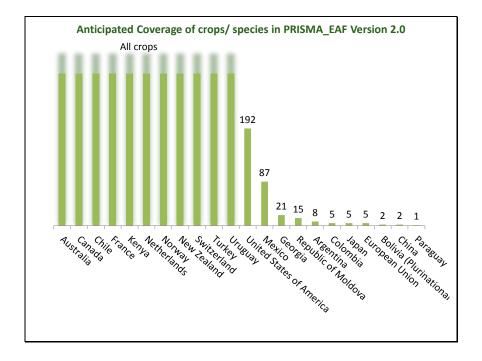
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Which approach do you follow?

- Approach 1: UPOV TG
- Approach 2: Crop independent TQ
 - Reference to UPOV TG Characteristics
 - Reference to National characteristics
 - Reference to Both
- Approach 3: Crop dependent TQ.

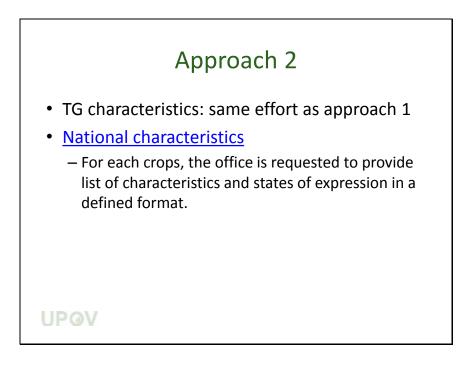
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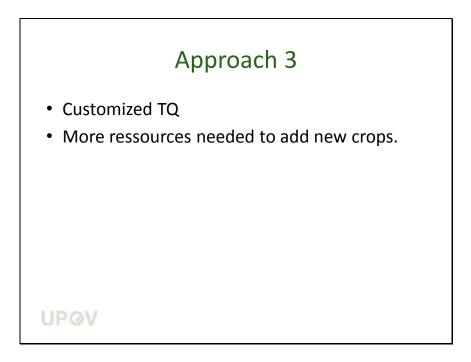
Approach 1

- Easy and quick support for all crops/species
- It is possible to restrict the supported crops.
- If the output form language is different from the UPOV languages, it will be requested to provide translations of all characteristics and states of expression of the supported crops.

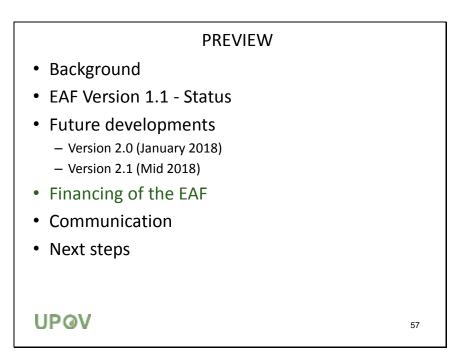
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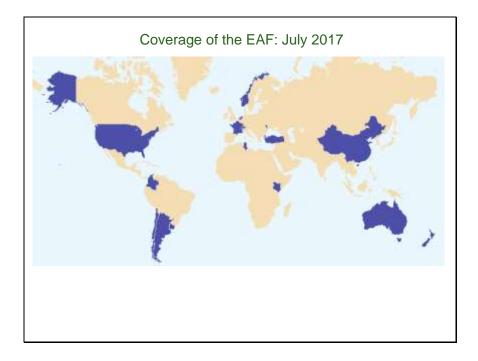


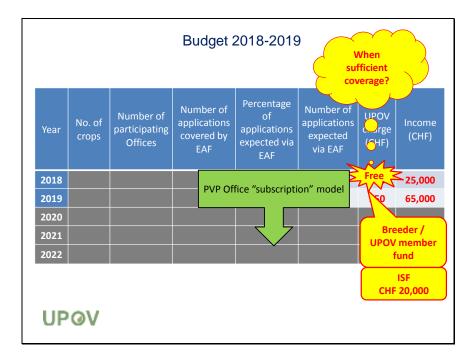
UPOV/EAF/10/3 Annex II / Annexe II / Anexo II page 29 / página 29



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Year	No. of crops	Number of participating Offices	Number of applications covered by EAF	Percentage of applications expected via EAF	Number of applications expected via EAF	UPOV charge (CHF)	Income (CHF)
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2018	10	30	4676	15	701	150	105'216
2019	15	35	6786	15	1018	250	254'475
2020	30	40	8841	15	1326	250	331'524
2021	40	50	10272	15	1541	250	385'193
2022	50	50	11296	15	1694	250	423'581
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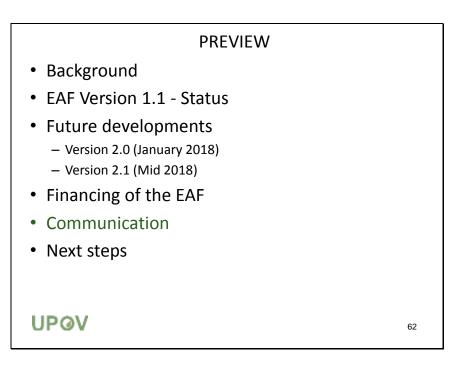




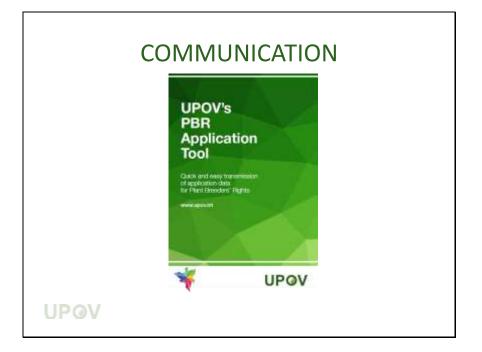
Financing of the EAF (Council Report C/51/22)

The UPOV Council

(d) approved the UPOV charge for the EAF at CHF150 per application for the 2018-2019 biennium, but agreed that the EAF could be made free of charge for an introductory period if the budgeted costs of the EAF were funded by means other than the regular budget. In that regard, the Council noted that the Office of the Union had received a letter from the Secretary General of the International Seed Federation (ISF) offering to contribute CHF20,000 in order to increase the use of the EAF during the launch period and to extend the system to a larger number of crops and UPOV members. It further noted that Canada and Australia would be interested to explore the possibility of funding the EAF in the form of an annual subscription;



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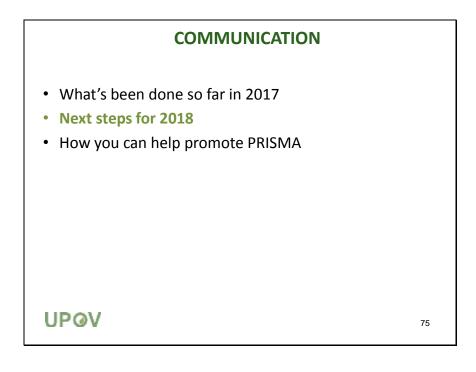


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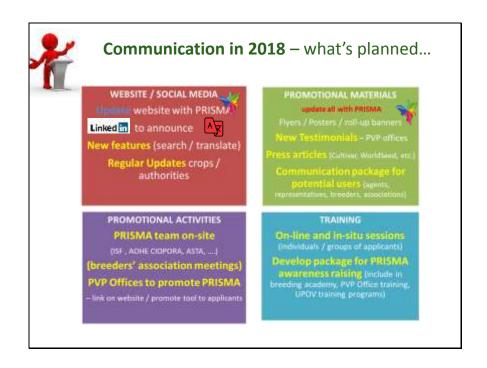


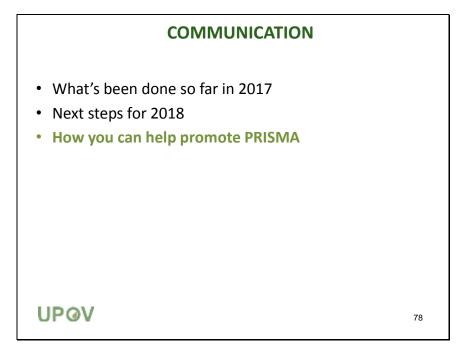
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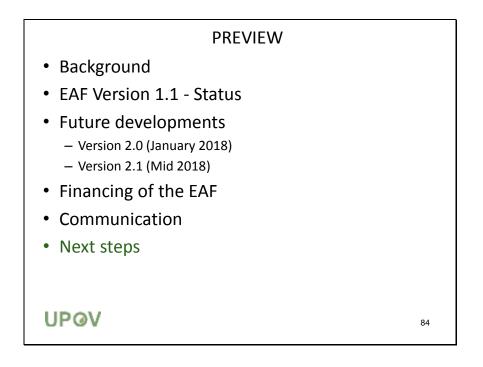
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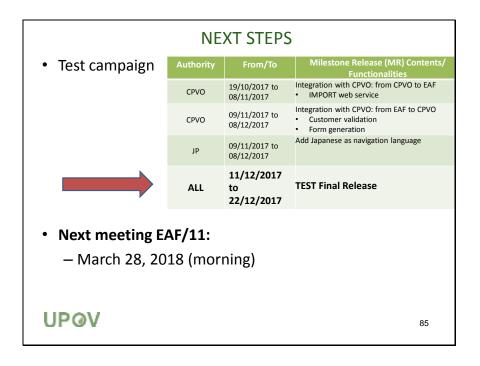


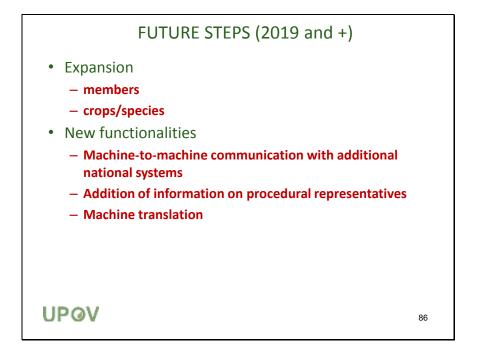
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[End of Annex II and of document/ Fin de l'annexe II et du document/ Fin del Anexo II y del documento]