

TWC/28/36 ORIGINAL: English DATE: July 2, 2010

## INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS GENEVA

## TECHNICAL WORKING PARTY ON AUTOMATION AND COMPUTER PROGRAMS

# Twenty-Eighth Session Angers, France, June 29 to July 2, 2010

REPORT

adopted by the Technical Working Party on Automation and Computer Programs

## Opening of the Session

1. The Technical Working Party on Automation and Computer Programs (TWC) held its twenty-eighth session in Angers, France, from June 29 to July 2, 2010. The list of participants is reproduced in Annex I to this report.

2. The session was opened by Mr. Gerie van der Heijden (Netherlands), Chairperson of the TWC, who welcomed the participants.

3. The TWC was welcomed by Bart Kiewiet, President, Community Plant Variety Office of the European Union (CPVO), who made a presentation on the Community Plant Variety System. A copy of his presentation is provided in Annex II to this document.

#### Adoption of the Agenda

4. The TWC adopted the revised agenda as reproduced in document TWC/28/1 Rev., according to the order of agenda items agreed at the session.

## Short Reports on Developments in Plant Variety Protection

## (a) Reports from members and observers

5. The expert from Australia reported that, that the number of applications for new varieties received at that date in the 2009/2010 financial year was over 300 and the total for the year was likely to be similar to the numbers received in previous years (more than 320). He explained that around 20% of the applications received were in agricultural species, 60% in ornamental species and over 15% in fruit species. Applications for new species for which there was no DUS experience in any UPOV member authority continued to be around 20 to 30 per year; mostly ornamental varieties. Work was continuing on the development and testing of 'Evock', a software tool to filter and compare variety description information to assist in the selection of similar varieties of common knowledge to be included in the DUS trial. The information was sourced from a back-end database and used previously entered information on states of expression for characteristics from the relevant UPOV Test Guidelines or National descriptor. Since 2005, all variety description information was being entered into that system. A project to enter description information prior to 2005 was continuing and was around 50% complete. The project was estimated to be completed by 2012. He further reported that work had begun on the development of a comprehensive electronic case management system to manage the flow of an application at every stage. He explained that it was a long-term project, which was in the scoping phases at that time, that also involved other areas of the agency. It was likely to impact on some existing plant breeder's right (PBR) systems but should allow some opportunity for improvement and development and it would be a number of years before implementation.

6. The expert from the <u>Colombia</u> reported that Colombia has received a total of 1486 applications. The most important species under protection in Colombia were rose (47.07%), Chrysanthemum (12.26%), Carnation (11.04%), Alstroemeria (9.83%), rice (3.70%), Cotton (2.29%). With respect to the origin of the applications, the most important for Colombia were Netherlands (40%), Germany (16.03%), France (11%), United States of America (9.48), Colombia (8.35%), Italy (4.92) and Israel (3.7%). She added that Colombia has granted certificates for a total of 910 varieties and that Colombia carried out DUS testing for rice, soybean, cotton, sugarcane, garlic, grass and tobacco

7. The expert from the <u>Czech Republic</u> reported that image analysis was being used in the Czech Republic for oilseed rape and peas.

8. The expert from <u>Denmark</u> reported that image analysis had been used for certain years in Denmark, and this year would be for cereals. It had proved useful to reduce the number of errors in observations. He also reported that they were working on regrouping some field crops.

9. The expert from the <u>CPVO</u> reported that, in 2009, the Office had received 2,755 applications for Community plant variety rights (CPVR), a decrease of 8% from the previous year. Since the end of March 2010, the CPVO was able to offer to applicants the possibility

of e-filing, which enabled them to file an application for Community rights on-line via a secured site. For the time being, that was possible for 5 species and it was the intention to enlarge the list of species as soon as possible. The system had recently been presented to National authorities of European Union (EU) Member States with the aim of investigating possibilities to share the system. At a later stage, the system might also be shared with UPOV members. With respect to cooperation between EU member States' authorities and UPOV, the CPVO had put in place, several years previously, a centralized database of variety denominations. In addition to the possibility for National EU authorities to use that database for the testing of similarity of denomination proposals, since February 2010, the Office produced an "advice" on the suitability of a proposed variety denomination, if such a request for advice had been received from an EU authority. The CPVO had developed recently the following IT projects: since November 2009, original documents of the registers of applications and varieties granted Community plant variety rights were deemed to be electronic. That had major consequences on the way of working of the CPVO, willing to exchange experience with interested offices in this respect. Since January 1, 2010, the bimonthly gazette was being published electronically and stakeholders were informed by e-mail. There was a project of e-subscription to the e-gazette. An on-line application system was launched in March 2010 and the publication of variety descriptions granted community plant variety rights on the public web site of the Office was planned to be implemented before the end of 2010. Following the implementation of the "one key, several doors" principle, whereby DUS test reports produced by any authority in the EU are accepted for listing or protection purposes throughout the Community, an independent technical audit of the CPVO was set up in September 2008. The first quality audits commenced in spring 2010.

10. The expert from <u>Finland</u> reported that the Law on Plant Breeder's Rights had been renewed and entered into force from the beginning of 2010. The major change was that the Finnish Food Safety authority "Evira" had become the official registration authority in Finland, and had become responsible for the reception of applications, the publication and registration of new varieties. He added that there were also changes in the organization of Evira, as a result of the incorporation of plant breeder's rights, which was under the Administrative Department of Legal Affairs.

11. An expert from <u>France</u> reported that, during 2010, the testing station had relocated from La Miniére to L'Anjouère and that the GAIA software was being revised.

12. An expert from <u>Germany</u> reported that they had started to use the CPVO denomination database and that a project for document management was under development.

13. The expert from <u>Hungary</u> reported that 600 applications for agricultural crops had been filed, of which 200 were for maize varieties, and 150 applications for horticultural crops. He added that they were starting the use of the GAIA software. In February, Hungary had been audited by the CPVO.

14. The expert from <u>Italy</u> reported that plant breeder's rights were within the responsibility of the Ministry of Economy and Development and that the first PBR legislation was passed in 1974 and that it had been modified since that time. In 2009, 105 applications had been filed, 75% of which were for agricultural crops, in particular wheat and barley.

15. An expert from Japan reported that, in 2009, 1138 applications had been filed, which represented an 18% decrease with respect to 2008. 320 applications, 28% of the total, were foreign applications. 1,501 breeder's rights had been granted during 2009, which represented

an increase of 21% with respect to 2008. During the period 1978 to 2009, a total of 24,484 applications had been filed and a total of 18,743 titles had been granted. In September 2009, there was a change in the Government in Japan. The new cabinet evaluated the electronic application systems from the point of view of cost-effectiveness, as a result of which the electronic application system for PBR had been cancelled due to the low cost-effectiveness. He reported on the Variety registration Pre-Examination Image Contents Systems (PICS). PICS was developed in 2008 and contained two main databases on plant varieties: the image database and a database with administrative and legal information, such as variety denomination, commercial name, registration number, etc. He explained that PICS was accessible to any person through internet. The user could select flower color, then enter the species, and images and data could be searched, including the variety description. At the moment they were in the process of collecting image data and information was requested to the public.

16. An expert from <u>Kenya</u> reported that, in 2009, around 100 applications had been filed, and that a total of 1,300 applications had been filed in Kenya since the introduction of PBR. He reported on the creation of a project for databases and explained that the management of the Kenya Plant Health Inspectorate Service (KEPHIS) had changed recently.

An expert from the Netherlands reported that, during the previous two years a lot of 17. work had been done on a totally new IT system for Naktuinbouw Varieties & Trials. He explained that it had been a bigger undertaking than anticipated, because three existing systems were replaced by a complete new one. In particular, the migration of all data from the existing systems into the new system had been problematic because of data overlap. The new IT system would provide access to the applicants to check the progress of the applications. The publication of photos and descriptions of varieties was anticipated at a later stage. He added that there was ongoing work on the maintenance of variety description databases and that DNA databases of potato and Phalaenopsis were operational, which had proved to be very helpful in the management of variety collections. The expert reported that, in 2010, Naktuinbouw had passed the company wide ISO 9001 audit and also the ISO 17020 audit on DUS testing on the 17 main species, which gave them confidence for the coming CPVO audit. In 2010, Naktuinbouw had started with a system to carry out two independent growing cycles in one calendar year. The previous week, the two-week PVP course in Wageningen had been completed. The course was attended by 23 participants from 18 countries. He recalled the possibility to have an internship at Naktuinbouw, where an expert could work alongside the Naktuinbouw staff. The internship had been a success and, in 2010, an expert from Canada and an expert from Poland had each spent 3 weeks at Naktuinbouw and 2 more experts were expected to participate during 2010. With respect to cooperation, he reported on cooperation projects with China, Vietnam and Indonesia. He concluded by reporting that its image analysis module was being modified and, once finished, would be reported to the TWC; and that cooperation with the CPVO for the use of the variety database, as well as the on-line application system had been initiated.

18. An expert from <u>Poland</u> reported on the use of image analysis in oilseed rape. He reported that a workshop on statistics for variety testing had been held in Poland. He also reported on a workshop on plant variety protection, organized in cooperation with the European Union and UPOV, which had been held in the Research Centre for Cultivar Testing (COBORU). 60 participants from 16 countries had attended the workshop in COBORU.

19. Experts from the <u>Republic of Korea</u> reported that, since the beginning of 2010 until May 31, 316 applications had been filed, of which 263 were electronic applications. The

electronic application system had been launched in June 2005. During 2009, 72% of applications had been received using that system. The total number of applications and plant breeder's granted was 4,786 and 3,065 respectively, since the PVP system had been implemented in 1997. All plant genera and species had become protectable from May 2009, with the exception of strawberry, raspberry, blueberry, cherry, tangerine, and seaweed. The Korea Seed & Variety Service (KSVS) had started to add images of ornamental varieties with variety denominations in the official gazette of KSVS homepage. He added that, in 2009, the thirty-eighth session of the TWA had been held in Seoul, Republic of Korea, from August 31 to September 4. Before the TWA session, on August 28, 2009, an international symposium on "The Impact of PVP System" had been held with 9 speakers from Argentina, Australia, Canada, China, European Union, Japan, Kenya and Poland, as well as the Republic of Korea. The third session of the East Asia Plant Variety Protection Forum and International Seminar had been held in Seoul, from April 28 to April 30, 2010.

20. An expert from the <u>United Kingdom</u> reported that they continued to use the cyclical planting of the reference collection of herbage crops. She added that recently an audit by CPVO had been conducted.

## (b) Reports on developments within UPOV

21. The TWC received an oral report from the Office of the Union (Office) on latest developments within UPOV, a copy of which is provided as Annex III to this document.

#### Molecular Techniques

22. The TWC received a report on developments within UPOV concerning molecular techniques, on the basis of document TWC/28/2.

23. With regard to methods for analysis of molecular data (see document TWC/28/2, paragraph 62), experts from France and the Netherlands offered to present information on the processing and use of molecular data at the twenty-ninth session of the TWC. The TWC agreed that other experts should be encouraged to present information on the methods that they used for analysis of molecular data.

#### TGP Documents

24. The TWC considered the TGP documents below in conjunction with document TWC/28/3.

(a) New TGP documents:

## *TGP/11 Examining Stability*

25. The TWC considered document TGP/11/1 Draft 8 and the comments made by the Technical Working Party for Agricultural Crops (TWA), at its thirty-ninth session, held in Osijek, Croatia, from May 24 to 28, 2010, as set out in document TWC/28/3, paragraph 10.

26. With regard to the TWA proposal in document TWC/28/3, paragraph 10, the TWC proposed that the final sentence of Section 2.3.3.1 be amended to read "The candidate parent line variety is declared stable if at least 5 ear-rows conform to the plot". The TWC also suggested that it might be appropriate to consider adding examples for vegetable, fruit and/or ornamental crops.

## (b) Revision of TGP documents:

*TGP/5* Experience and Cooperation in DUS Testing, Section 10: "Notification of Additional Characteristics"

27. The TWC noted the developments reported in document TWC/28/10

## *TGP/7* Development of Test Guidelines

28. The TWC considered the following items in conjunction with document TWC/28/3:

(*i*) Coverage of ornamental varieties in Test Guidelines (document TWC/28/11)

29. The TWC considered document TWC/28/11 and suggested that consideration might be given to whether the asterisked characteristics would necessarily be appropriate for types of varieties for which additional characteristics would be needed beyond those included in the Test Guidelines.

## *(ii) Quantity of plant material required*

30. The TWC considered document TWC/28/12.

31. The TWC noted that the Section 4.1.2 of document TGP/7/2 Draft 5 specified that the "quantity of plant material specified in Chapter 2.3 of the Test Guidelines is the minimum quantity that an authority might request of the applicant. Therefore, each authority may decide to request a larger quantity of plant material, for example to allow for potential losses during establishment, or for a standard sample (see GN 7 "Quantity of plant material required").".

- *(iii)* Applications for varieties with low germination
- 32. The TWC noted the information presented in document TWC/28/13.
  - *(iv) Number of plants to be considered for distinctness*
- 33. The TWC considered document TWC/28/14.
- 34. The TWC proposed that consideration be given to developing guidance on:
  - (a) how to select the plants to be examined for distinctness from within the trial;

(b) the minimum number of plants of candidate varieties required to be able complete the trial, i.e. the minimum number of plants required to examine distinctness and uniformity;

(c) the number of plants required for varieties of common knowledge (reference varieties) to be compared with the candidate varieties; and

(d) whether, for Test Guidelines with a small number of plants in the DUS trial (e.g. Grapevine), all the plants of the candidate variety might be examined, disregarding any off-type plants, irrespective of the minimum number to be examined. Thus, in the case of grapevine, all 8 plants of candidate varieties might be examined (or 7 if one plant was an off-type).

- (v) Selection of asterisked characteristics
- 35. The TWC noted the proposals set out in document TWC/28/15.
  - (vi) Indication of grouping characteristics
- 36. The TWC noted the proposals set out in document TWC/28/16.
  - (vii) Guidance for type of observation
- 37. The TWC noted the explanation presented in document TWC/28/17.
  - (viii) Example varieties

38. The TWC considered document TWC/28/18 and proposed to amend the wording as follows:

"7. The conditions can be listed as follows:

- "(a) Example varieties must be well-known across the member States, [...];
- [...]

"(d) Considering a set of example varieties for a characteristic, the rank of each example variety [...]."

39. The TWC noted that a set of example varieties for North East Asia had been published on the UPOV website as an Annex to the Test Guidelines for Rice.

*(ix) Providing photographs with the Technical Questionnaire* 

40. The TWC considered document TWC/28/19 and made the following comments:

paragraph 9 - to revise the first sentence to refer only to aspects affecting the image

	<ul> <li>captured by the photograph and to introduce a separate sentence to address aspects affecting the reproduction of the image (e.g. resolution of the screen on which the image is viewed)</li> <li>to replace "an imprecise picture" with "such factors"</li> </ul>
1.0(.)	
paragraph 9 (vi)	to modify the final sentence to apply to situations other than flower color in ornamental plants and to consider adding the possibility of using a standard color check chart, instead of the RHS Colour Chart
paragraph 9 (vii)	- to replace "and not reflect light" to "should not have a shiny surface", for example
	- to add an explanation that there should be uniform light distribution over the object to be photographed, and to give examples of how that might be achieved, e.g. by a light tent

## (x) Standard references in the Technical Questionnaire

41. The TWC considered document TWC/28/8.

42. The TWC noted that it would be a matter for breeders to indicate the usefulness of standard references for UPOV Technical Questionnaires (TQs). However, it saw the benefits of having a standard reference for items in the TQ, particularly for authorities where applications forms could not be made available in multiple languages. In that regard, it noted that the inclusion of a standard reference in an authority's TQ would be considerably more straightforward than translating those documents into other languages. It also noted that the growing diversity of languages and alphabets within UPOV meant that the use of the references by only some authorities might still bring substantial benefits.

*TGP/8 Trial Design and Techniques Used in the Examination of Distinctness, Uniformity and Stability* 

43. The TWC considered document TWC/28/20 and agreed the following with regard to the development of the items covered by the annexes:

## TGP/8 PART I: DUS TRIAL DESIGN AND DATA ANALYSIS

Annex I

New Section 2 - Data to be recorded (Drafter: Mr. Uwe Meyer (Germany))

The TWC considered that a DUS expert should be identified to assist Mr. Meyer in the redrafting of the section in order to ensure that it would be accessible to DUS experts

Annex II

New Section 3 - Control of variation due to different observers (Drafter: Mr. Gerie van der Heijden (Netherlands))

Mr. van der Heijden reported that he had discussed the section with Mr. Henk Bonthuis, (Netherlands) and they would seek to develop a draft text for

#### consideration by the TWPs in 2011.

Annex III

New Section 6 – Data processing for the assessment of distinctness and for producing variety descriptions (Drafters: experts from Finland, France, Germany, Japan, Kenya and the United Kingdom)

The TWC considered document TWC/28/32 "Principles Lying Behind the Methods Described in TGP/8 Part II for Producing Variety Descriptions", prepared by Mrs. Sally Watson (United Kingdom) and Mr. Sami Markkanen (Finland).

The TWC agreed that the Office of the Union should develop the section by making reference to TGP/9 "Examining Distinctness" and "New Section 2 - Data to be recorded (Drafter: Mr. Uwe Meyer (Germany))".

The TWC noted that this section would need to be considered in conjunction with the development of the New Section 13 of PART II of TGP/8 "Methods for data processing for the assessment of distinctness and for producing variety descriptions: (Drafters: experts from Finland, France, Germany, Japan, Kenya and the United Kingdom)"

#### Annex IV

*New Section – Information of good agronomic practices for DUS field trials* (*Drafter to be agreed*)

The TWC noted that, at the thirty-ninth session of the TWA, held in Osijek, Croatia, from May 24 to 28, 2010, Mrs. Anne Weitz (European Union) had offered to act as a drafter of this section, and Argentina and France had offered to contribute.

## TGP/8 PART II: TECHNIQUES USED IN DUS EXAMINATION

#### Annex V

New Section after COYU – Statistical Methods for very small sample sizes (Drafter Mr. Gerie van der Heijden (Netherlands))

The TWC agreed that Mr. van der Heijden (Netherlands) would contact Mr. Chris Barnaby (New Zealand) to seek clarification on the purpose of this section and to seek examples of situations where guidance was needed. On the basis of those discussions, an introduction would be added to the text.

#### Annex VI

Section 4 - 2x1 % Method - Minimum number of degrees of freedom for the 2x1% Method (Drafter to be agreed)

The TWC agreed that Mrs. Sally Watson (United Kingdom) would draft a general explanation of the rationale for indicating "at least 10 degrees of freedom and preferably at least 20 degrees of freedom" for both the 2x1% Method and COYD.

#### Annex VII

Section 9 - The Combined-Over-Years Uniformity Criterion (COYU) - Minimum number of degrees of freedom for COYU (Drafter to be agreed)

(see also comments to Annex VI above)

The TWC agreed that reference to "COYU" should be changed to "COYD"

Annex VIII

Section 10 – Minimum number of comparable varieties for the Relative Variance Method (Drafter: Mr. Nik Hulse (Australia)).

The TWC noted that a proposal would be prepared for discussion by the TWPs in 2011.

#### Annex IX

New Section 11 Examining DUS in bulk samples: (Drafter: Mr. Kristian Kristensen (Denmark))

The TWC agreed that Mr. Kristian should provide an introduction to this section explaining the reasons for bulking of samples and the consequences of bulking for DUS examination.

## Annex X

New Section 12 - Examining characteristics using image analysis (Drafter: Mr. Gerie van der Heijden (Netherlands))

The TWC considered that, before developing this section further, it would be useful to review information on the use of image analysis by UPOV Members.

Experts from Australia, Czech Republic, Denmark, Finland, France, Germany, the Netherlands, Poland and the United Kingdom would make 15 minute presentations on their use of image analysis at the twenty-ninth session of the TWC.

The TWC noted that those presentations might also be considered in relation to exchangeable software.

## Annex XI

New Section 13 - Methods for data processing for the assessment of distinctness and for producing variety descriptions (Drafters: experts from Finland, France, Germany, Japan, Kenya and the United Kingdom)

(see also comments on Annex III)

The TWC considered Annex XI in conjunction with the following documents:

TWC/28/24 "Handling measured quantitative characteristics for vegetable and herbage crops tested in the United Kingdom", prepared by Mrs. Sally Watson (United Kingdom)

The TWC noted that paragraph 7 should be amended to read "For vegetable crops excluding potato method (b) is used to divide the range of expression into states and notes, and for herbage crops method (a) is used."

The TWC agreed that the notes should be amended to 1-5, instead of 1, 3, 5, 7, 9.

Mr. Markkanen (Finland) explained that the system used by Finland appeared to be based on the same principles as those used in the DUSTNT package and explained that, if that proved to be the case, Finland would consider using the DUSTNT package and would withdraw its method from the collection of

methods in Annex XI

TWC/28/32 "Principles Lying Behind the Methods Described in TGP/8 Part II for Producing Variety Descriptions", prepared by Mrs. Sally Watson (United Kingdom) and Mr. Sami Markkanen (Finland)

TWC/28/33 "Use of linear regression for the description of herbage crops tested in France" prepared by Mr. Vincent Gensollen (France)

As a next step in preparing a section for TGP/8, the TWC agreed that the TWC should receive a 10-minute overview of each of the methods presented in document TWC/28/20, Annex XI and also the presentations by Argentina, Germany, Japan and the Republic of Korea at the DUS seminar, held in Geneva in March 2010. The TWC would then analyse the similarities and differences in those proposals and would seek to identify methods that would serve as models and that would be available to UPOV members in the form of exchangeable software. As a possible future step, the TWC could consider whether it would be appropriate to compare results from common data sets.

## Annex XII

*New Section - Guidance of data analysis for blind randomized trials (Drafter to be agreed)* 

The TWC noted that at the thirty-ninth session of the TWA, it was agreed that France would provide an example.

Annex XIII

*New Section - Statistical methods for visually observed characteristics (Drafter to be agreed)* 

The TWC noted that this subject would be discussed under agenda item 12 "Statistical methods for visually observed characteristics" (document TWC/28/29)

## Annex XIV

New Section - Guidance for the development of variety descriptions with information from more than one growing cycle in one location, and more than one location

The TWC agreed that Mr. van der Heijden (Netherlands) would consider whether it would be possible to present a report on developments in the Netherlands

44. The TWC noted that, subsequent to the twenty-eighth session of the TWC, there had been some changes to certain sections in the version of document TGP/8/1 that was put forward for adoption by the Council and agreed that the TWC should review those sections at its twenty-ninth session.

## *TGP/12: Guidance on Certain Physiological Characteristics*

45. The TWC noted the proposals set out in document TWC/28/21.

## TGP/14: Glossary of Technical, Botanical and Statistical Terms Used in UPOV Documents

*(i) Revision of existing sections* 

46. The TWC considered document TWC/28/22.

47. The TWC agreed that the first sentence of paragraph 8 should read "The ratio length/width (width/length) is a tool to describe a component of shape.". It also noted that any characteristics that were considered for distinctness would also need to be examined for uniformity. The TWC agreed that it should consider the results of this analysis at its twenty-ninth session.

#### *(ii) New section for color characteristics*

48. The TWC considered document TWC/28/23 and made the following comments:

PART II: COLOR		
2.1 Components of Color	to be amended to explain that, as and where appropriate, UPOV Test Guidelines may use a characteristic to describe "color" in relation to hue, saturation and brightness (three dimensions), but may also, for example, develop separate characteristics for "color" in two dimensions and a separate characteristic for "intensity of color".	
PART III: COLOR DIS	STRIBUTION / PATTERN	
3.1 General: The use of Number of Colors	- to indicate the difficulties in obtaining an objective and consistent assessment of number of colors	
	- to delete "does not" at end of paragraph	

#### Development of COY

#### (a) COYU: possible proposals for improvements to COYU

49. The TWC considered document TWC/28/27, presented by Mr. Kristian Kristensen (Denmark). Mr. Kristensen proposed to carry out a survey to obtain data on the relationship between uniformity and expression of characteristics for different crops in order to determine if linear or quadratic adjustments would be suitable to correct the biases. He would then consider the implementation of the improved method.

50. The TWC noted that experts from Germany, Netherlands, Poland and United Kingdom would send information of averages and standard deviations to Mr. Kristensen for analysis and encouraged other experts to send such information to Mr. Kristensen.

(b) A comparison of COYU and a method based on Bennett's Test for coefficients of variation

51. The TWC considered document TWC/28/26, presented by Mr. Wiesław Pilarczyk (Poland). He explained that the objective of the document was to explore the possibility of

using a simpler method than COYU to asses uniformity, and to establish the circumstances when such a method could be used. He recalled that COYU used the standard deviation as the measurement of uniformity, whilst Bennet's used the coefficient of variation. With regard to paragraph 24 of the document, Mr. Pilarczyk noted that the second sentence should read "The Bennett's test accepted more candidate varieties". He explained that the results were more concordant than appeared in the document because the data set, which focused on varieties with small means and large standard deviations, was not typical. He noted that the method might be appropriate for ratio scale data, but not for other types of data. Mr. Pilarczyk reported that he would like to replace the McNemar's test by the f test when uniformity of candidate varieties was tested in a future document.

52. The TWC agreed that a new document be prepared for the next session.

(c) A rationale for excluding varieties of common knowledge from the second growing cycle when COYD is used

53. The TWC noted the report provided in document TWC/28/30, as presented by Mr. Adrian Roberts (United Kingdom). The TWC noted that Mr. Roberts was planning to investigate the importance of variance heterogeneity and possible modification of the method to allow for it.

Statistical methods for visually observed characteristics

54. The TWC considered document TWC/28/29, presented by Mr. Kristian Kristensen (Denmark), on the basis of a presentation, a copy of which is provided in document TWC/28/29 Add..

55. It was suggested that it might be useful to make the analysis using programs other than SAS. An expert from France agreed to investigate whether someone in his country would be able to translate the method to R. An expert from United Kingdom offered to do this for the program GenStat.

56. The TWC agreed that separate chapters for ordinal and nominal data (including binominal data) be prepared for the next version of the document.

Combination of morphological distance (GAIA) with genotypic distance in the framework on "Management of the Reference Collection"

57. The TWC considered document TWC/28/28.

58. The TWC noted that the scores for expert notes were based on a global assessment and, therefore, would not necessarily correspond to a particular GAIA value, which was calculated on the basis of a sum of weighted values for differences for individual characteristics. The TWC considered that it might be interesting to provide a graph to show the relationship between the expert notes and GAIA. It also agreed that it would be interesting to analyze more pairs of similar varieties, i.e. pairs that had expert notes of 1 and 3.

#### Study on grass reference collections in different locations

59. The TWC considered document TWC/28/31, introduced by Mr. Gerie van der Heijden (Netherlands). He explained that the objective of the document was to explore possibilities to reduce the size of the field tests and therefore to reduce the cost of the trials, by using data from other locations or countries. He noted that there were no plans to continue investigations into the Sun / satellite approach outlined in the document.

#### Variety Denominations

60. The TWC received a presentation from Mrs. Carole Bonneau (CPVO) on the CPVO "Centralised database of variety denominations", and a presentation by Patrick Lecoq on the CPVO system of variety denomination checking, copies of which are provided as document TWC/28/35, Annex I and Annex II, respectively.

61. The TWC noted that the CPVO system of variety denomination checking did not seek to take into account phonetics in its assessment of similarity of denominations.

62. The TWC noted the information provided in document TWC/28/4.

## Exchangeable software

63. The TWC considered document TWC/28/7, and UPOV/INF/Software Draft 3.

64. The TWC heard that the English translation of the user guide for Sirius was being prepared by the Office of the Union and would be checked by the experts from France. The interface would then be completed, with a view to making an English version available at the beginning of 2011.

65. Following the presentations by Mrs. Bonneau and Mr. Lecoq, in relation to variety denominations (see above), Mr. Carlos Godinho, Vice-President of the CPVO, invited the TWC to consider including the CPVO Centralised Database of Variety Denominations in document UPOV/INF/Software. He explained that the database would be made available to all UPOV members and would enable them to use the CPVO variety denomination checking tool in conjunction with all data in the CPVO Centralised Database of Variety Denominations. He also clarified that the algorithm for the variety denomination checking tool would also be accessible in conjunction with the CPVO Centralised Database of Variety Denominations, for UPOV members wishing to develop that tool for use in their own databases.

66. The TWC noted the benefits that could be achieved from harmonization in the checking of variety denominations and agreed that the CPVO Centralised Database of Variety Denominations and the CPVO algorithm for variety denomination checking should be proposed for inclusion in document UPOV/INF/Software.

67. The TWC received a presentation from Mrs. Laura Naie on the electronic office management systems deployed by CPVO, a copy of which is provided as document TWC/28/35, Annex III. Mr. Godinho (CPVO) offered the assistance of CPVO to any UPOV member wishing to benefit from the experiences of CPVO in developing their

system and suggested that consideration might be given to how that offer might be reflected in document UPOV/INF/Software, or elsewhere.

## Electronic application systems

68. The TWC received a presentation from Mr. Marc Rouillard (CPVO) on the CPVO Online Application system, a copy of which is provided in document TWC/28/35, Annex IV.

69. The TWC recalled that it had considered the inclusion of standard references for the Technical Questionnaire, as set out in document TWC/28/8, under agenda item 5 (b) "Revision of TGP Documents". I n relation to Proposal 2 "Use of information provided in an electronic version of the UPOV Model Application Form and UPOV Model TQ", the TWC noted paragraph 54, which explained that the Administrative and Legal Committee (CAJ) had concluded that it would be beneficial to await developments concerning the possibility of the CPVO online application system being made available to members of the Union.

#### **UPOV** Information Databases

70. The TWC noted the information provided in document TWC/28/5.

## Variety description databases

71. The TWC noted the information provided in document TWC/28/6.

#### Data loggers

72. The TWC noted the information provided in document TWC/28/34 and agreed that a circular should be sent by Office of the Union, inviting further entries in advance of the twenty-ninth session of the TWC.

#### Assessing uniformity by off-types on the basis of more than one sample or sub-samples

73. The TWC noted the report on developments concerning the questionnaire on off-types, as set out in document TWC/28/9.

## Document TGP/8 Draft 15

74. The TWC agreed that, in document TGP/8/1 Draft 15: PART II: 5: Pearson's Chi-Square Test Applied to Contingency Tables, Section 5.5 (4) should be amended to read:

"(4) Always use Yates Correction for determining the chi-square test with only one degree of freedom."

#### Database for researching TWC working documents

75. The TWC participants were provided by the expert from Germany with the latest edition of the "Database to research TWC working documents", as prepared by Mr. Thomas Drobek (Germany).

76. The TWC agreed that there could be benefits in making UPOV session documents available in Word format, in addition to pdf format.

#### Future Program, Date and Place of the Next Session

77. The TWC agreed to hold its twenty-ninth session in Geneva, Switzerland, from June 7 to 10, 2011, with the preparatory workshop on June 6. During the twenty-ninth session, the TWC planned to discuss the following items:

- 1. Opening of the session
- 2. Adoption of the agenda
- 3. Short reports on developments in plant variety protection:
  - (a) Reports from members and observers (oral reports by the participants)

(b) Reports on developments within UPOV (oral report by the Office of the Union)

- 4. Molecular techniques (document to be prepared by the Office of the Union and documents invited)
- 5. TGP documents
- 6. Information and databases
  - (a) UPOV information databases (document to be prepared by the Office of the Union and documents invited)
  - (b) Variety description databases (document to be prepared by the Office of the Union and documents invited)
  - (c) Exchangeable software (document to be prepared by the Office of the Union and documents invited)
  - (d) Electronic application systems (document to be prepared by the Office of the Union and documents invited)
- 7. Variety denominations (document to be prepared by the Office of the Union)
- 8. Image analysis (papers invited)
- 9. Data loggers (document to be prepared by the Office of the Union)
- 10. Assessing uniformity by off-types on the basis of more than one sample or sub-samples (document to be prepared by the Office of the Union)

11. Development of COY

(a) COYU: possible proposals for improvements to COYU (document to be prepared by Denmark and United Kingdom)

(b) A comparison of COYU and a method based on Bennett's Test for coefficients of variation (document to be prepared by Poland)

(c) A rationale for excluding varieties of common knowledge from the second growing cycle when COYD is used (document to be prepared by United Kingdom)

- (d) Use of COYD when varieties are grouped (document to be prepared by United Kingdom)
- 12. Statistical methods for visually observed characteristics (document to be prepared by Denmark and documents invited)
- 13. Database for researching TWC documents (CD to be prepared by Germany)
- 14. Date and place of the next session
- 15. Future program

78. To facilitate planning for webcasting of the session, the TWC agreed to the draft schedule, as set out in Annex IV, whilst noting that it might be subject to some variation prior to its finalization for issuing with the invitation to the session.

## Chairperson

79. The TWC agreed to propose to the TC that it recommend to the Council to elect Mr. Sami Markkanen (Finland) as the next chairperson of the TWC.

## Visit

80. On the afternoon of July 1, the TWC visited the testing station of L'Anjouère of the Groupe d'Études et de contrôle des Variétés et des Semences (GEVES).

81. The TWC adopted this report at the close of the session.

[Annexes follow]

#### TWC/28/36

## ANNEX I

## I. MEMBERS

## **AUSTRALIA**

Nik HULSE, Senior Examiner, Plant Breeder's Rights Office, IP Australia, 47 Bowes Street, Phillip ACT 2606 (tel.: +61 2 6283 7982 fax: +61 2 6283 7999 e-mail: nik.hulse@ipaustralia.gov.au)

#### **COLOMBIA**

Lillia Amparo BONILLA GACHARNA (Sra.), Profesional Especializado, Grupo de Protección de Variedades Vegetales Protegidas en Colombia, Instituto Colombiano Agropecuario (ICA), Calle 37, No. 8-43 Off. 409 Piso 4, Bogotá (tel.: 1 2328643 Ext 371 fax: 1 2328643 e-mail: lilia.bonilla@ica.gov.co)

#### CZECH REPUBLIC

David HAMPEL, Biometrician, National Plant Variety Office, Central Institute for Supervising and Testing in Agriculture (UKZUZ), National Plant Variety Office, Hroznova 2, 656 02 Brno (tel.: +420 543 548 351 fax: +420 543 212 440 e-mail: david.hampel@ukzuz.cz)

#### DENMARK

Kristian KRISTENSEN, Senior Scientist, Faculty of Agricultural Sciences, Department of Genetics and Biotechnology, Research Unit for Bioinformatics, Genetics and Statistics, University of Aarhus, Blichers Allé 20, Post Box 50, DK-8830 Tjele (tel.: +45 8999 1209 fax: +45 8999 1300 e-mail: kristian.kristensen@agrsci.dk)

#### **EUROPEAN UNION**

Bart KIEWIET, President, Community Plant Variety Office (CPVO), 3, boulevard Maréchal Foch, B.P. 10121, 49101 Angers Cedex 02 (tel.: +33 2 4125 6412 fax: +33 2 4125 6410 e-mail: kiewiet@cpvo.europa.eu)

Carlos GODINHO, Vice-President, Community Plant Variety Office (CPVO), 3, boulevard Maréchal Foch, B.P. 10121, 49101 Angers Cedex 02 (tel.: +33 2 4125 6413 fax: +33 2 4125 6410 e-mail: godinho@cpvo.europa.eu)

Dirk THEOBALD, Head of the Technical Unit, Community Plant Variety Office (CPVO), 3, boulevard Maréchal Foch, B.P. 10121, 49101 Angers Cedex 02 (tel.: +33 2 4125 6442 fax: +33 2 4125 6410 e-mail: theobald@cpvo.europa.eu)

Jean MAISON, Deputy Head, Technical Unit, Community Plant Variety Office (CPVO), B.P. 10121, 49101 Angers Cedex 02 (tel.: +33 2 4125 6435 fax: +33 2 4125 6410 e-mail: maison@cpvo.europa.eu)

Patrick LECOQ, IT Developer, Supporting Services, Community Plant Variety Office (CPVO), 3 boulevard Maréchal Foch, BP 10121, 49101 Angers, France

(tel.: +33 2 41 256402 fax: +33 2 41 256410 e-mail: lecoq@cpvo.europa.eu)

Laura NAIE (Ms.), IT Developer, Supporting Services, Community Plant Variety Office (CPVO), 3 boulevard Maréchal Foch, B.P. 10121, 49101 Angers, France (tel.: +33 2 41 256462 fax: +33 2 41 25 64 10 e-mail: naie@cpvo.europa.eu)

Marc ROUILLARD, Webmaster, Supporting Services, Community Plant Variety Office (CPVO), 3, boulevard Maréchal Foch, B.P. 10121, 49101 Angers Cedex 02 (tel.: +33 0241 256456 fax: +33 0241 256410 e-mail: rouillard@cpvo.europa.eu)

Carole BONNEAU (Mrs.), Database Manager, 3, boulevard Maréchal Foch, B.P. 10121, 49101 Angers , France (tel.: +33 2 41 25 64 50 fax: +33 2 41 25 64 10 e-mail: bonneau@cpvo.europa.eu)

Laurence THEODORE (Ms.), Assistant to Head of the Technical Unit, Community Plant Variety Office (CPVO), 3, boulevard Maréchal Foch, BP 10121, 49101 Angers , France (tel.: +33 2 41 256435 fax: +33 2 41 256410 e-mail: theodore@cpvo.europa.eu)

#### **FINLAND**

Sami MARKKANEN, Control Department, Seed Certification Unit, Finnish Food Safety Authority Evira, P.O. Box 111, FIN-32201 Loimaa (tel.: 358 7829 45 43 fax: 358 77 2531 e-mail: sami.markkanen@evira.fi)

#### FRANCE

Christophe CHEVALIER, rue Georges Morel, BP 90024, F-49071 Beaucouze CEDEX (tel.: +33 2 41 22 86 36 fax: +33 2 41 22 58 01 e-mail: christophe.chevalier@geves.fr)

Georges SICARD, Groupe d'étude et de contrôle des variétés et des semences (GEVES), rue Georges Morel, BP 90024, F-49071 Beaucouzé (tel.: +33 24 1 228 709 e-mail: georges.sicard@geves.fr)

#### **GERMANY**

Thomas DROBEK, Referat 111, Bundessortenamt, Osterfelddamm 80, 30627 Hannover (tel.: +49 511 95665688 fax: +49 511 95669600 e-mail: thomas.drobek@bundessortenamt.de)

Uwe MEYER, Referatsleiter Informationstechnologie, Referat 111, Bundessortenamt, Postfach 61 04 40, 30604 Hannover (tel.: +49 511 9566 5689 fax: +49 511 9566 9600 e-mail: uwe.meyer@bundessortenamt.de)

#### HUNGARY

Márton PÉCS, Central Agricultural Office, Keleti Károly u. 24, H-1024 Budapest (tel.: +36 1 336 9174 fax: +36 1 336 9098 e-mail: pecsm@ommi.hu)

## **ITALY**

Maurizio GIOLO, Ente Nazionale delle Sementi Elette (ENSE), Via Ca' Nova Zampieri, 37, I-37057 S.G. Lupatoto VR (tel.: +39 045 545 164 fax: +39 045 545 250 e-mail: m.giolo@ense.it)

## JAPAN

Takayuki NISHIKAWA, 2-2, Fujimoto, Tsukuba-shi, Ibaraki (tel.: +81 29 838 6584 e-mail: taka0609@affrc.go.jp)

Hiroshi UCHIZAWA, Examiner, Plant Variety Protection Office, Intellectual Property Division, Ministry of Agriculture, Forestry and Fisheries, 1-2-1 Kasumigaseki, Chiyoda-ku, Tokyo 100-8950 (tel.: 81 3 6744 2122 fax: 81 3 3502 6572 e-mail: hiroshi\_uchizawa@nm.maff.go.jp)

## <u>KENYA</u>

John M. NGENY, Plant Examiner, Plant Variety Rights Office, Kenya Plant Health Inspectorate Service (KEPHIS), P.O. Box 49592-00100, Oloolua Ridge, Karen, Nairobi (tel.: +254 20 3536175 e-mail: ngenyjma@kephis.org)

#### **NETHERLANDS**

Gerie VAN DER HEIJDEN, Biometris, Wageningen-UR, Droevendaalsesteeg 1, 6708 PB, NL-Wageningen (tel.: +31 317 480 750 fax: +31 317 48 3554 e-mail: gerie.vanderheijden@wur.nl)

#### POLAND

Bogna KOWALCZYK, Deputy Head, DUS Testing Department, Research Centre for Cultivar Testing (COBORU), PL-63 022 Slupia Wielka (tel.: +48 61 285 2341 fax: +48 61 285 3558 e-mail: b.kowalczyk@coboru.pl)

Wieslaw PILARCZYK, Expert Statistician, Research Center for Cultivar Testing (COBORU), PL-63-022 Slupia Wielka (tel.: +48 61 285 2341 Ext. 224 fax: +48 61 285 35 58 e-mail: wpilar@up.poznan.pl)

#### **REPUBLIC OF KOREA**

Jin-Seok AHN, Dongbu Office, Korea Seed & Variety Service, Heongkye-Ri, Daegwallyeong-Myeon, Pyeongchang-Gun, GangWon-Do, (tel.: +82 33 336 6243 fax: +82 33 335 9722 e-mail: jinseouk@seed.go.kr)

Won Sig LEE, Sobu Office, Plant Variety Protection Division, Korea Seed and Variety Service (KSVS), 1095-4917 Seokcheon-ri, Nangsan-myun, Iksan-si, Chunlabuk-do 570 892 (tel.: +82 63 8612595 fax: +82 63 862 0069 e-mail: leews6@seed.go.kr)

#### UNITED KINGDOM

Haidee PHILPOTT, Statistician, NIAB, Huntingdon Road, Cambridge CB3 OLE (tel.: +44 1223 342258 e-mail: haidee.philpott@niab.com)

Adrian M.I. ROBERTS, Biomathematics & Statistics Scotland (BioSS), James Clerk Maxwell Building, The King's Buildings, Edinburgh EH9 3JZ (tel.: +44 131 650 4893 fax: +44 131 650 4901 e-mail: adrian@bioss.ac.uk)

Sally WATSON (Mrs.), Biometrics Branch, Agri-Food & Biosciences Institute, 18a, Newforge Lane, Belfast BT9 5PX (tel.: +44 28902 55 292 fax: +44 28902 55 008 e-mail: sally.watson@afbini.gov.uk)

#### II. OFFICER

Gerie VAN DER HEIJDEN

## III. OFFICE OF UPOV

Peter BUTTON, Technical Director, 34, chemin des Colombettes, CH-1211 Geneva 20, Switzerland (tel. +41-22-338 8672, fax +41-22-733 03 36 e-mail: <u>peter.button@upov.int</u>)

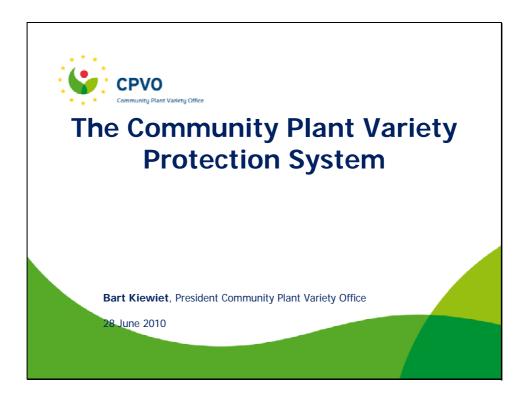
Raimundo LAVIGNOLLE, Senior Counsellor, 34, chemin des Colombettes, 1211 Geneva, Switzerland (tel.: +41 22 338 9565 fax: +41 22 733 0336 e-mail: raimundo.lavignolle@upov.int)

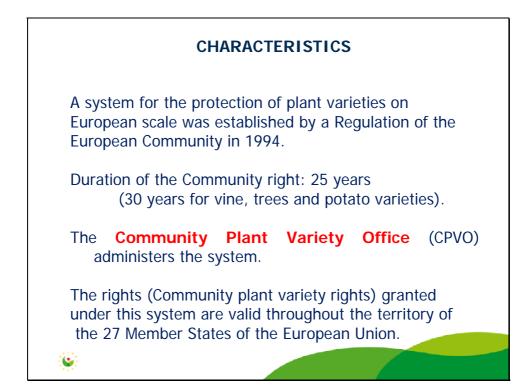
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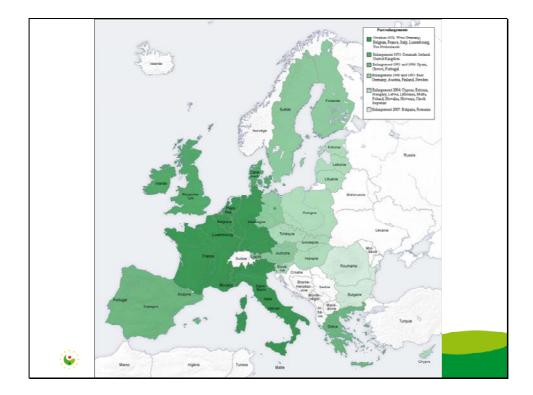
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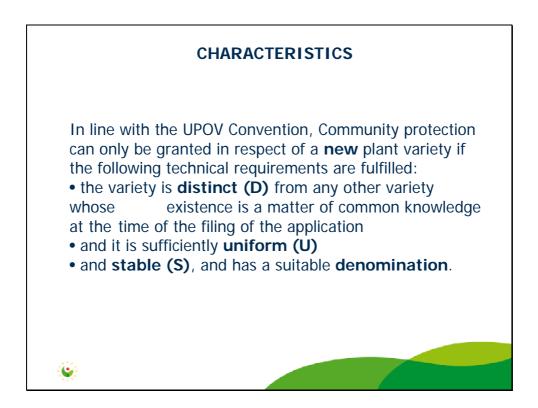
## ANNEX II

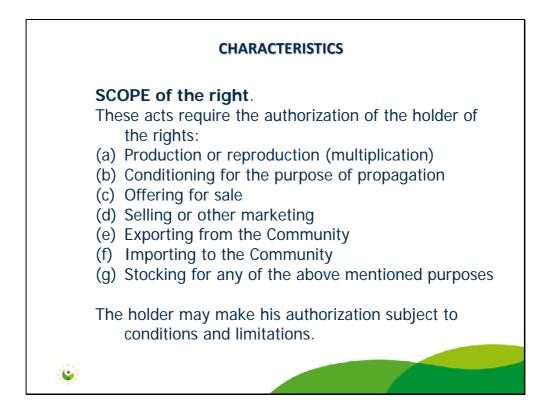
Presentation made by Mr. Bart Kiewiet, President, Community Plant Variety Office (CPVO), European Community

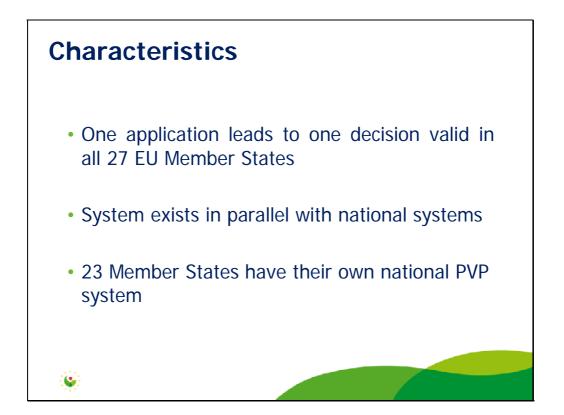




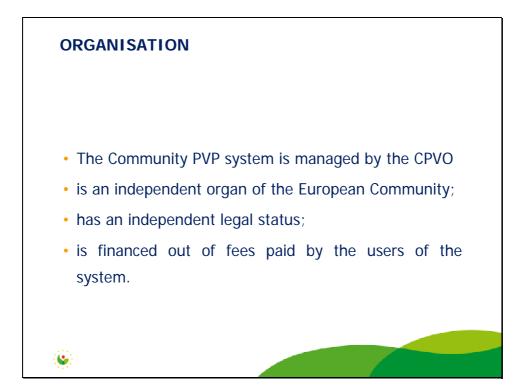




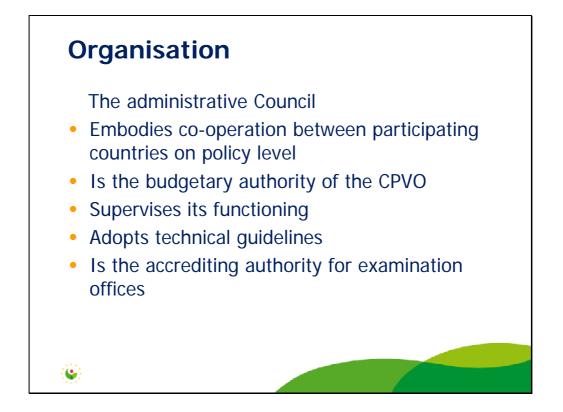




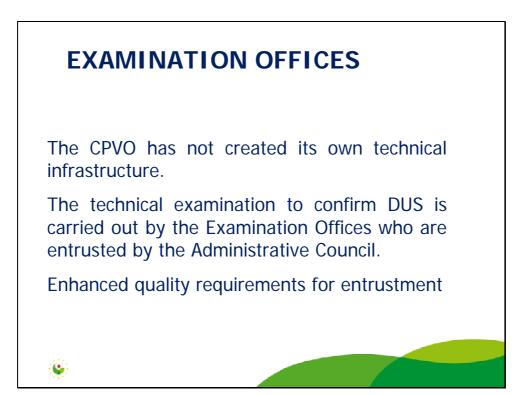




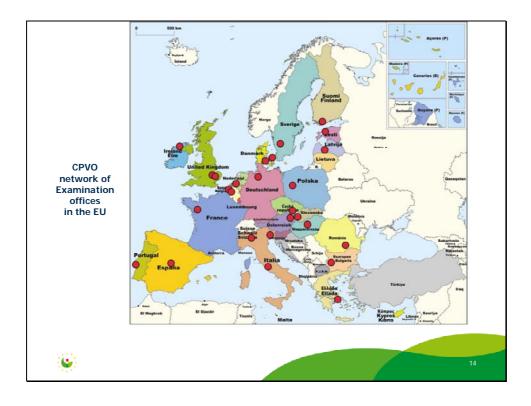




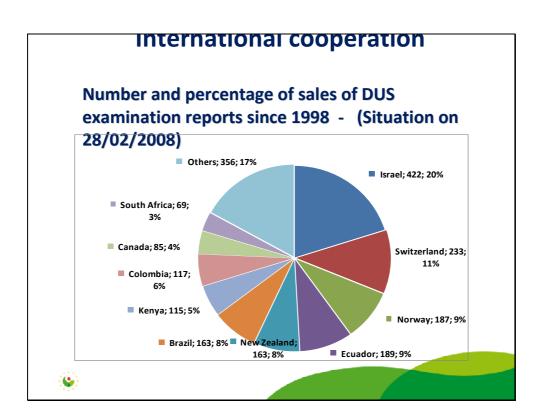


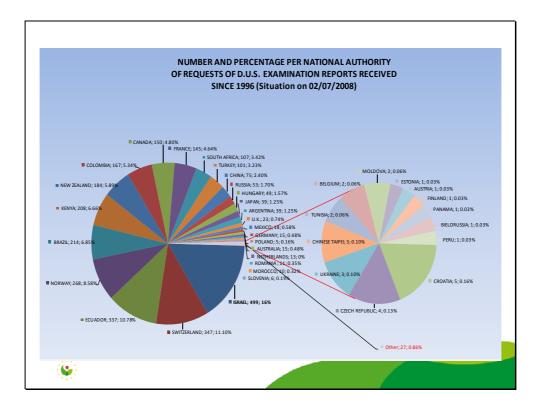


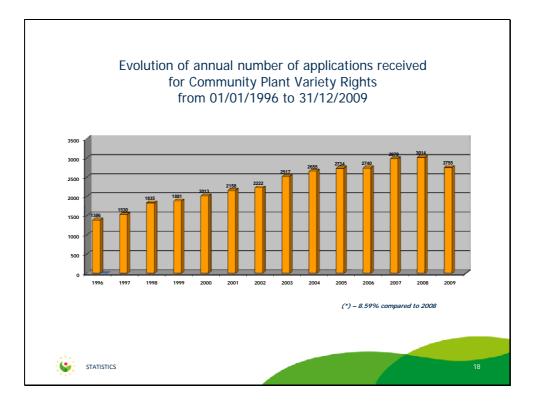


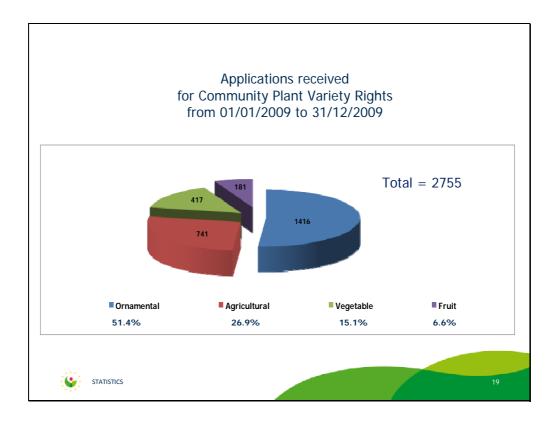


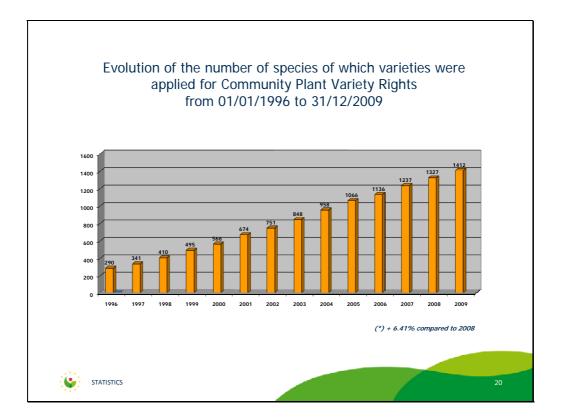


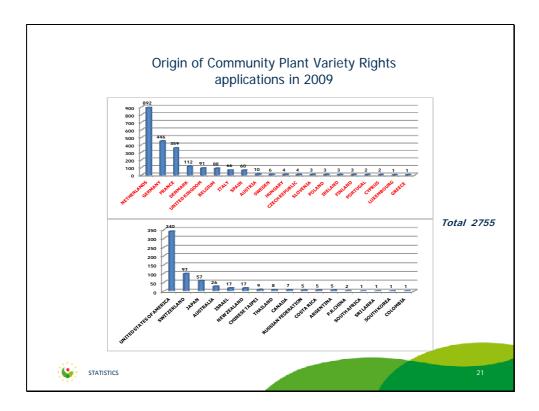


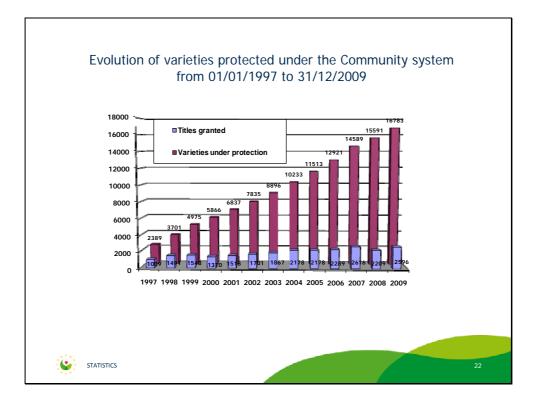












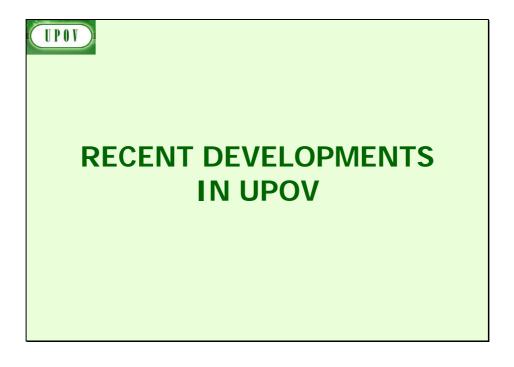


[Annex III follows]

#### TWC/28/36

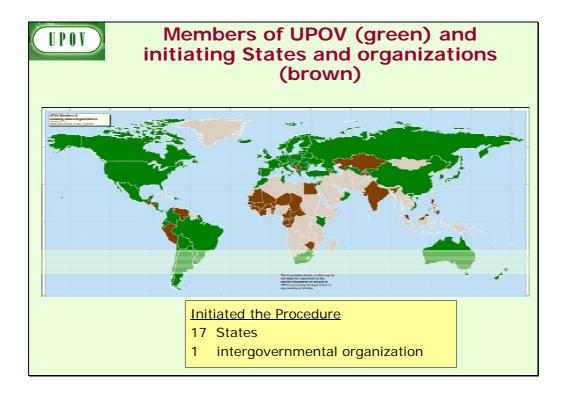
## ANNEX III

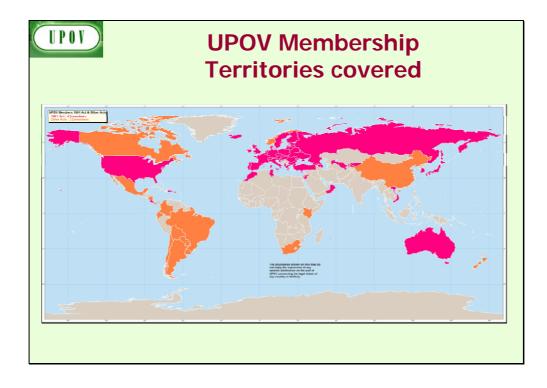
Presentation made by the Office of the Union under the agenda item "Short Reports on Developments in Plant Variety Protection: Reports on developments within UPOV"





MEMBERSHIP OF UPOV     68 Members     (67 States and the European Union)					
<u>1991 Act</u> Slovakia <u>Laws examined</u> Oman Guatemala	June 12, 2009 <u>Council session</u> October 22, 2009 October 22, 2009	<u>Advice</u> positive positive			
<u>New Members</u> Oman	November 22, 2009				







UPOV

# proposals

President of the Technical Committee

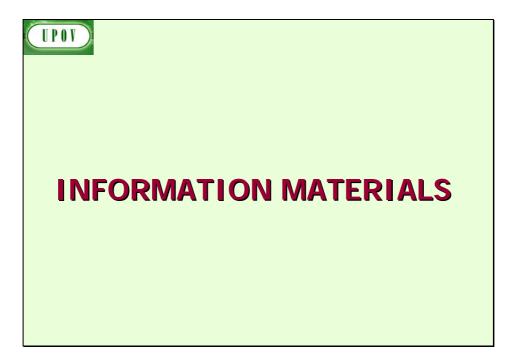
Mr. Joël Guiard (France)

Vice-President of the Technical Committee

Mr. Alejandro Barrientos-Priego (Mexico)







COUNCIL
INFORMATION MATERIALS ADOPTED:
UPOV/INF/12/2 (Revision)
Explanatory Notes on Variety Denominations under the
UPOV Convention
(Revised classes: Class 202 Megathyrsus, Panicum, Setaria and Steinchisma Class 211 Mushrooms)
<u>UPOV/INF/13/1</u>
Guidance on How to Become a Member of UPOV
UPOV/INF/14/1
Guidance for Members of UPOV on How to Ratify, or
Accede to, the 1991 Act of the UPOV Convention

UPOV	COUNCIL						
INFORMA	INFORMATION MATERIALS ADOPTED (continued): :						
	e for the preparation of laws based on 1991 Act of the UPOV Convention (document UPOV/INF/6/1)						
PART I:	EXAMPLE TEXT FOR ARTICLES						
PART II:	NOTES BASED ON INFORMATION MATERIALS						
(available ir	(available in English, French, German, Spanish, Arabic, Chinese and Russian)						

UPOV	COUNCIL		
INFORM	IATION MATERIALS ADOPTED (continued):		
Explanatory No	tes on:		
UPOV/EXN/GEN/1	Genera and Species to be Protected		
UPOV/EXN/NAT/1	National Treatment		
UPOV/EXN/NOV/1	Novelty		
UPOV/EXN/PRI/1	Right of Priority		
UPOV/EXN/PRP/1	Provisional Protection		
UPOV/EXN/EDV/1	Essentially Derived Varieties		
UPOV/EXN/EXC/1	Exceptions to the Breeder's Right		
UPOV/EXN/NUL/1	Nullity of the Breeder's Right		
UPOV/EXN/CAN/1	Cancellation of the Breeder's Right		
UPOV/EXN/ENF/1	Enforcement of Breeders' Rights		
under the 199	91 Act of the UPOV Convention		
	(also incorporated in document INF/6/1)		

# Administrative and Legal Committee Advisory Group (CAJ-AG)

Explanatory Notes

UPOV

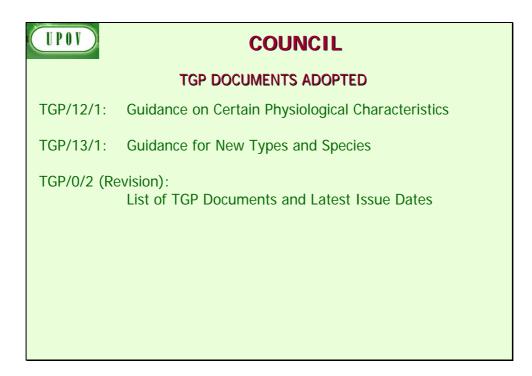
- (a) UPOV/EXN/BRD: Definition of Breeder
- (b) UPOV/EXN/HRV: Harvested Material
- (c) Essentially Derived Varieties (revision)

Matters referred by the CAJ to the CAJ-AG:

(a) objectives of the possible development of a document on the exhaustion of the breeder's right

(b) objectives of the possible development of a document on the notion of "own holdings"

(c) matters arising after the grant of a breeder's right



UPOV)		TG/1/3 General Introduction	
		Ļ	
		"Associated" TGP Documents	
	Ref.	Title	
	TG/00	List of TGP Documents and Latest Issue Dates	
	TGP/1	General Introduction With Explanations	
	TGP/2	List of Test Guidelines Adopted by UPOV	
	TGP/3	Varieties of Common Knowledge	
	TGP/4	Constitution and Maintenance of Variety Collections	
	TGP/5	Experience and Cooperation in DUS testing	
	TGP/6	Arrangements for DUS testing	
	TGP/7	Development of Test Guidelines	< for revision
for adoption	TGP/8	Trial Design and Techniques Used in the Examination of DUS	
	TGP/9	Examining Distinctness	
	TGP/10	Examining Uniformity	
	TGP/11	Examining Stability	
	TGP/12	Special Characteristics	Standard
	TGP/13	Guidance for New Types and Species	wording
for adoption	TGP/14	Glossary of Technical, Botanical and Statistical Terms Used in UPOV Documents	
	TGP/15	New Types of Characteristics	

	ATCRAATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS
Council Documents	SEMINAR ON DUS TESTING
Restricted area	Geneva, March 18 to 20, 2010
	Aim:
	To provide information and facilitate discussion on: - arrangements for DUS testing; and - guidance for DUS testing, including test guidelines, the management of variety collections and variety descriptions.
	Target Audience:
	Officials responsible for organizing DUS testing Staff of plant variety protection offices and DUS testing organizations DUS examiners DUS data administrators
	Breeders

Seminar on DUS Testing
Arrangements for DUS Testing
Breeders' Perspective on DUS Testing
Role of the Technical Committee and the Technical Working Parties
DUS Training provided by members of the Union
Guidance for DUS Testing
Management of Variety Collections
Developing Variety Descriptions and their Use for Distinctness and
the Management of Variety Collections
(a) Transformation of Observations and Measurements into
Notes for Distinctness and for Variety Descriptions
(b) Use of Variety Descriptions Provided by Breeders

Seminar on DUS Testing: TC Chairman conclusions				
	<ul> <li>"UPOV members have used a range of approaches for DUS testing, as envisaged within the UPOV Convention, in order to provide an efficient and effective system for breeders according to their circumstances.</li> <li>"Cooperation is crucial for all UPOV members and will need to intensify in future to</li> </ul>			
	<ul> <li>Cooperation is created in the off of and the interference and with freeter barries in a need to meet the expansion of the UPOV system. There is a need to content is a constraint of the off of the system of the system (COV, GALA etc.) to promote harmonization;</li> <li>o enhance efficiency of cooperation, through         <ul> <li>maintaining standard forms, agreed fee for DUS reports, etc.;</li> <li>the use and further development of tools, such as the GENIE database;</li> <li>increasing exchange of information between UPOV members on their newly acquired experience;</li> <li>exchanging variety descriptions; and</li> <li>coordinating resources offfered by members of the Union (e.g. training, helpdesks, ad hoc expert advice).</li> </ul> </li> </ul>			
	<ul> <li>"The Technical Committee and Technical Working Parties are an important means of training and exchanging information in an expert forum, and additional benefits can be achieved through preparatory workshops and associated training events.</li> </ul>			
	<ul> <li>"It is important to continue to explore methods to address the management of variety collections, e.g. the potential role for molecular techniques.</li> </ul>			
	<ul> <li>"The organization of such seminars, from time-to-time, provides a valuable means of sharing broad overviews and new developments and also of indertifying areas for possible future guidance (e.g. treatment of data for distinctness and descriptions, understanding of "similar varieties", status of the variety descriptions).</li> </ul>			
	<ul> <li>"UPOV encourages breeders' organizations to contribute to <u>UPOV's</u> technical work and encourages a constructive dialogue on relevant issues at an early stage.</li> </ul>			
	<ul> <li>"Participation by experts of potential future members of the Union in the Technical Committee and Technical Working Parties, as observers, was encouraged as a principal means of achieving harmonization with the UPOV system and facilitation of future cooperation on becoming UPOV members."</li> </ul>			

# Test Guidelines adopted by Technical Committee in 2010

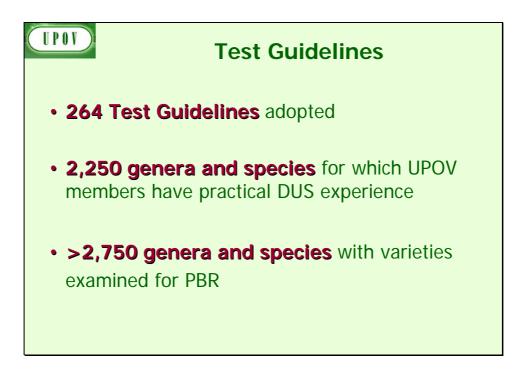
# New Test Guidelines:

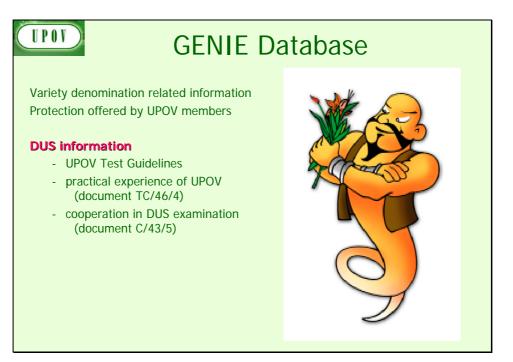
UPOV

Document	English	Drafter	TWP
TG/AGARIC	Agaricus Mushroom, Button Mushroom		TWV
TG/BUDDL	Buddleia, Butterfly-bush	FR	TWO
TG/FIG	Fig	ES	TWF
TG/GAURA	Gaura	GB	TWO
TG/GYPSO	Baby's Breath, Gyp, Gypsophila	IL/QZ	TWO
TG/PAPAY	Papaya, Papaw	MX	TWF
TG/PRL_MIL	Pearl Millet	BR	TWA
TG/SWEETPOT	Sweet Potato	KR	TWA/TWV

Test Guidelines adopted by Technical Committee in 2010				
Document	English	Drafter	TWP	
Revisions:				
TG/53/7	Peach	FR	TWF	
TG/59/7	Lily	NL	TWO	
TG/116/4	Black Salsify, Scorzonera	NL	TWV	
TG/123/4	Banana	BR	TWF	
TG/130/4	Asparagus	NL/DE	TWV	
TG/133/4	Hydrangea	FR	TWO	
Partial revisions:				
TG/11/8 Rev.	Rose		TWO	
TG/176/4 Rev.	Osteospermum		TWO	

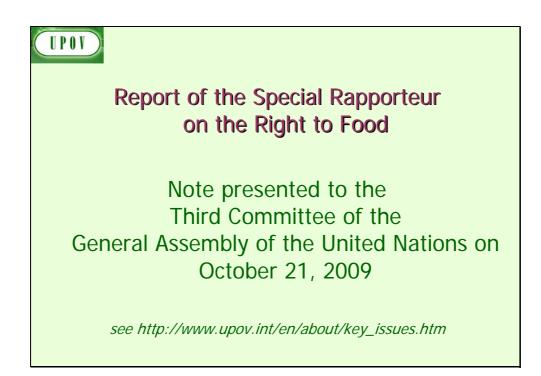
Other Test Guidelines considered by Technical Committee in 2010						
Status	Document No.	English	Drafter	TWP		
Referred back to T	WO TG/VRIES	Vriesea	NL	TWO		
Test Guidelines corrections notified to Technical Committee in 2010StatusDocument No.EnglishTWP						
Published	TG/26/5 Corr.2	Chrysanthemum	ı 👘	TWO		
Published	TG/28/9 Corr.	Zonal Pelargoni		TWO		





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### TWC/28/36

### ANNEX IV

### UPOV Technical Working Party on Automation and Computer Programs (TWC) 29th Session, Geneva, Switzerland June 7 to 10, 2011: Draft Schedule

	Monday June 6	Tuesday June 7	Wednesday June 8	Thursday June 9	Friday, June 10
09.00		1. Opening         2. Adoption of the agenda         3. Short reports on developments in PVP	VARIETY DESCRIPTION AND DISTINCTNESS	TGP 5-7-11- 12 and 14	
10.30		10:00 COFFEE	COFFEE	COFFEE	COFFEE
11.00		IMAGE ANALYSIS	VARIETY DESCRIPTION AND DISTINCTNESS (CONT.) VISUALLY OBSERVER CHARACTERISTICS	DEVELOPMENTS ON COY	
12.45		LUNCH	LUNCH	LUNCH	
14.00	Preparatory Workshop	<u>UPOV information databases</u> (a) UPOV information databases (b) Variety description databases (c) Exchangeable software (d) Electronic application systems	TGP/8	STATISTICAL METHODOLOGIES	14:00 END OF SESSION
15.30		COFFEE	COFFEE		
16.00 17:00	Preparatory Workshop	MOLECULAR TECHNIQUES	TGP/8		
		18:00	18:00	18:00	