

**Administrative and Legal Committee**

**CAJ/75/8 Add.**

**Seventy-Fifth Session  
Geneva, October 31, 2018**

**Original:** English  
**Date:** November 1, 2018

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**ADDENDUM  
ELECTRONIC APPLICATION FORM**

*Document prepared by the Office of the Union*

*Disclaimer: this document does not represent UPOV policies or guidance*

The Annex to this document contains a presentation made by the Office of the Union at the seventy-fifth session of the Administrative and Legal Committee.

[Annex follows]

# Administrative and Legal Committee

Document CAJ/75/8  
“ELECTRONIC APPLICATION FORM”

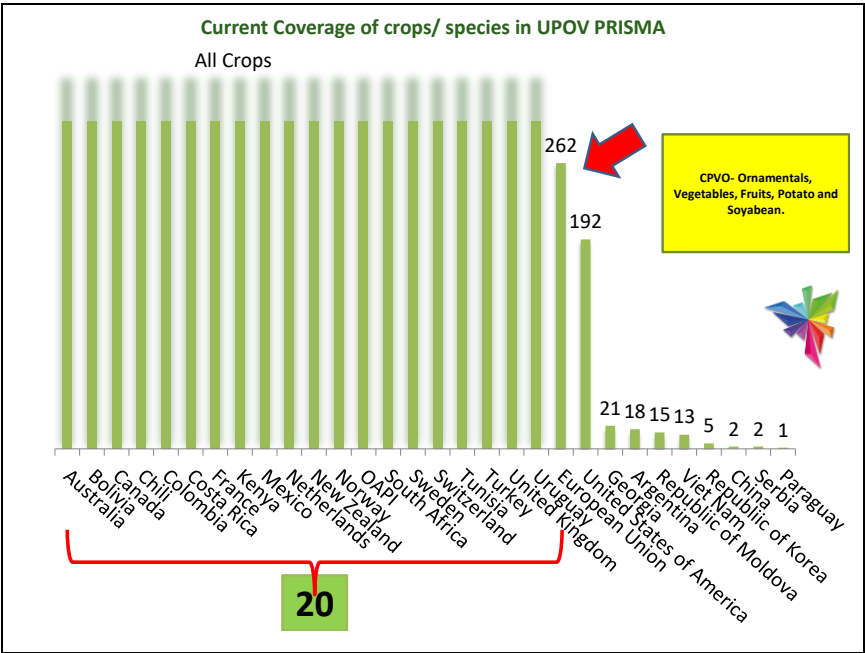
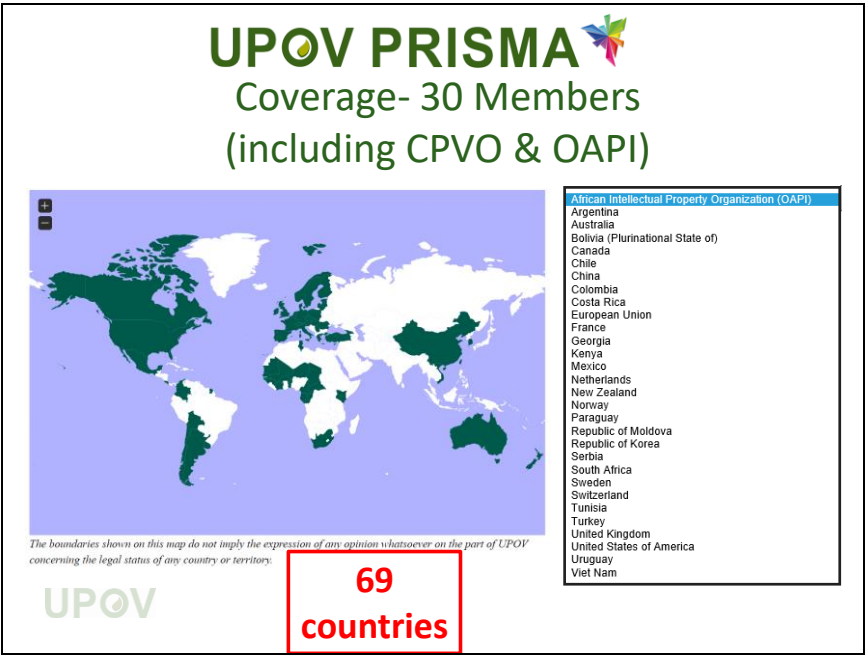
Geneva  
October 31, 2018



International Union for the Protection of New Varieties of Plants

## PREVIEW

- Latest developments
- Communication
- Developments at the EAF/12 meeting



## UPOV PRISMA Version 2.1

### New functionalities

- Information for Applicants;

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## New functionalities UPOV PRISMA Version 2.1 Information for Applicants Common format

ABOUT UPOV	MEMBERSHIP	UPOV SYSTEM	PVP DATA & STATISTICS	MEETINGS	NEWS						
<b>UPOV PRISMA</b> <span>HOME » UPOV PRISMA PBR APPLICATION TOOL » UPOV PRISMA »</span>											
<b>Information for Applicants</b>											
<a href="#">[Search by UPOV member]</a> <a href="#">[Search by Topic]</a>											
Search by UPOV member:											
<input type="text" value="African Intellectual Property Organization (OAPI)"/> <input type="button" value="Search"/>											
<table border="1"><tr><td>PVP Office Contact Information</td><td>African Intellectual Property Organization (OAPI) Place de la Préfecture B.P. 887 Yaoundé Cameroun  Tel. (237) 222 20 57 00 / (237) 222 20 39 11 Fax. (237) 222 20 57 27 Website: <a href="http://www.oapi.int">http://www.oapi.int</a> E-mail: <a href="mailto:oapi@oapi.int">oapi@oapi.int</a></td></tr><tr><td>Specific requirements in relation to UPOV</td><td>Are there any specific requirements in relation to UPOV PRISMA? Not yet available.</td></tr><tr><td>Application data</td><td>Will I receive confirmation that my application data has been received successfully? Not yet available.</td></tr></table>						PVP Office Contact Information	African Intellectual Property Organization (OAPI) Place de la Préfecture B.P. 887 Yaoundé Cameroun  Tel. (237) 222 20 57 00 / (237) 222 20 39 11 Fax. (237) 222 20 57 27 Website: <a href="http://www.oapi.int">http://www.oapi.int</a> E-mail: <a href="mailto:oapi@oapi.int">oapi@oapi.int</a>	Specific requirements in relation to UPOV	Are there any specific requirements in relation to UPOV PRISMA? Not yet available.	Application data	Will I receive confirmation that my application data has been received successfully? Not yet available.
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Application data	Will I receive confirmation that my application data has been received successfully? Not yet available.										

New functionalities UPOV PRISMA Version 2.1 Information for Applicants Common format	
Plant Material	When applicable, where should I send the plant material? Not yet available.
Payment	How and when do I pay the PVP application fee? Not yet available.  Will I receive confirmation that the payment has been received? Not yet available.
Agent / Local Representative	Do I need an agent or local representative in the country, if I am not a resident? Not yet available.
Filing Date	What is considered to be the filing date? Not yet available.
Deadlines	Are there deadlines for the receipt for my application? Not yet available.
Additional requirements	Are there any additional requirements? Not yet available.



## UPOV PRISMA Version 2.1

### New functionalities

- Information for Applicants;
- Novelty/ priority alert;

## New functionalities UPOV PRISMA Version 2.1

### Priority/Novelty Alert

#### Personalized notifications

- It is possible for the breeders to specify when he/she would like to receive this notification in the user profile page.

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##### Priority/Novelty notifications management

###### Priority

###### Existing reminders

✗ 10 month(s) before deadline to claim priority  
+   before deadline to claim priority

###### Novelty in the territory of first commercialization

###### Existing reminders

✗ 3 month(s) before expiry of 1 year grace period for novelty  
+   before expiry of 1 year grace period for novelty

###### Novelty outside the territory of first commercialization

###### Existing reminders


✗ 3 month(s) before expiry of 4/6 years grace period for novelty  
+   before expiry of 4/6 years grace period for novelty

✓ Save ✗ Close



## New functionalities UPOV PRISMA Version 2.1

### Priority alert - Example

 Noreply Upov | Madhour, Hend

Sun 1:00 AM

[UPOV PRISMA TEST] Priority Alert

Madam,  
Sir,

Based on the information provided in UPOV PRISMA, you may only have 10 months left to submit subsequent applications to other UPOV members in order to claim and enjoy the right of priority for the following variety:

International Reference Number	XU_30201800000211
Breeder's reference (if applicable)	
First date of application data transferred to African Intellectual Property Organization (OAPI) using UPOV PRISMA	13/08/2018

Best Regards,  
UPOV PRISMA Team

International Union for the Protection of New Varieties of Plants

Disclaimer

This alert is based on the date of the application data transferred using UPOV PRISMA and not on the filing date in the UPOV member concerned.

This priority alert is intended to provide assistance to the user in relation to priority matters exclusively based on the information provided by the user in UPOV PRISMA.

The user is responsible for the accuracy of the data provided and on meeting the requirements for a filing date in the UPOV member concerned.

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## **UPOV PRISMA Version 2.1**

### **New functionalities**

- Information for Applicants;
- Novelty/ priority alert;
- Enhancement of agent role ;

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### **New functionalities** **UPOV PRISMA Version 2.1**

### **Enhancement of Agent role**

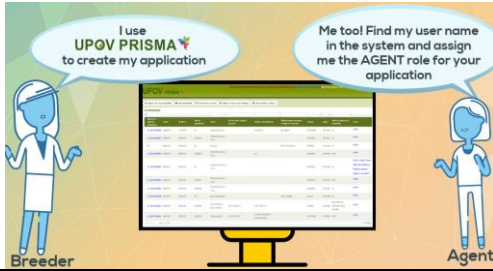
- An agent can:
  - Start new application
  - Copy application
  - Assign Co-agent, drafter and signatory roles

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**New functionalities**  
**UPOV PRISMA Version 2.1**  
**Enhancement of Agent role**



- Can be appointed by different breeders
- On an application-by- application basis,  
Breeders can choose to delegate to the agent:
  - Draft application data
  - Sign, Submit and Pay



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**UPOV PRISMA Version 2.1**  
**New functionalities**

- Information for Applicants;
- Novelty/ priority alert;
- Enhancement of agent role;
- View application data in the final output format before submission;
- Revise application data after submission upon PVP office request;

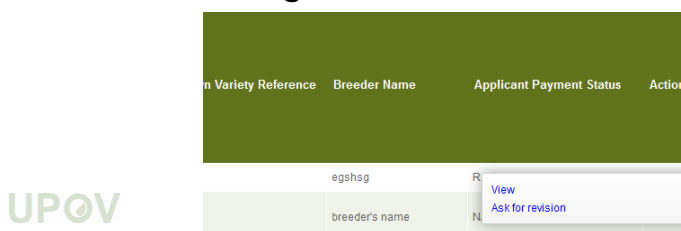




New functionalities  
**UPOV PRISMA Version 2.1**

**Revise application data after submission  
upon PVP office request**

- In case of missing information, the PVP office can request the breeder to update his application data and resubmit without additional charges.



Variety Reference	Breeder Name	Applicant Payment Status	Action
[Redacted]			

egshsg

breeder's name

View  
Ask for revision

**UPOV PRISMA Version 2.1**

**New functionalities**


- Information for Applicants;
- Novelty/ priority alert;
- Enhancement of agent role;
- View application data in the final output format before submission;
- Revise application data after submission upon PVP office request;
- Structured TQ4, TQ7 and TQ9
- Confidential data in Technical Questionnaire chapter 4

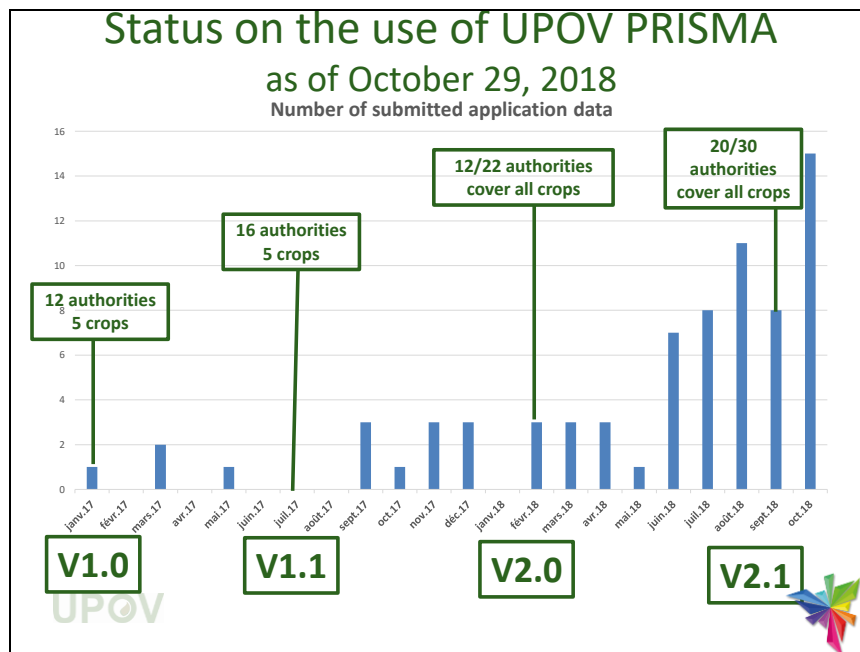
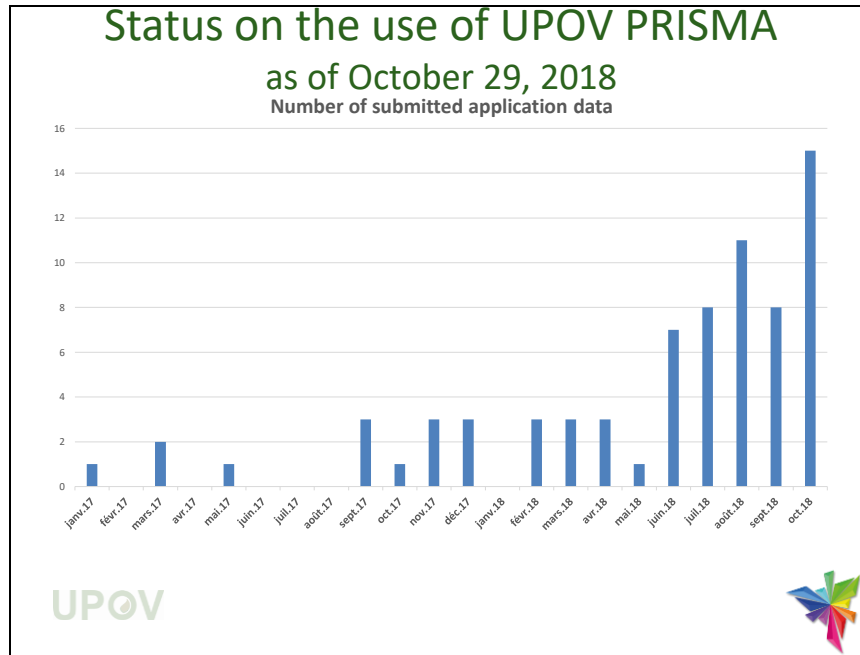
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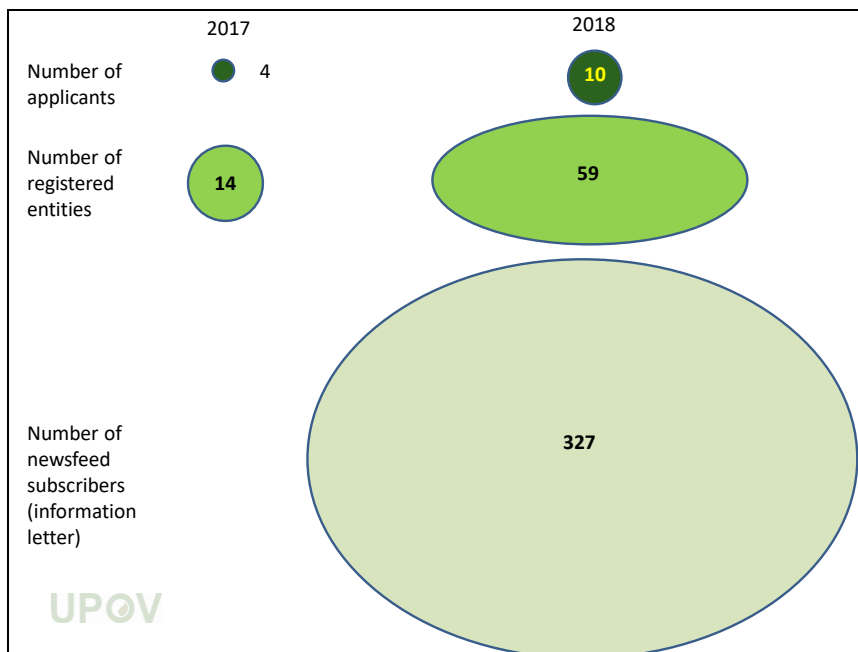
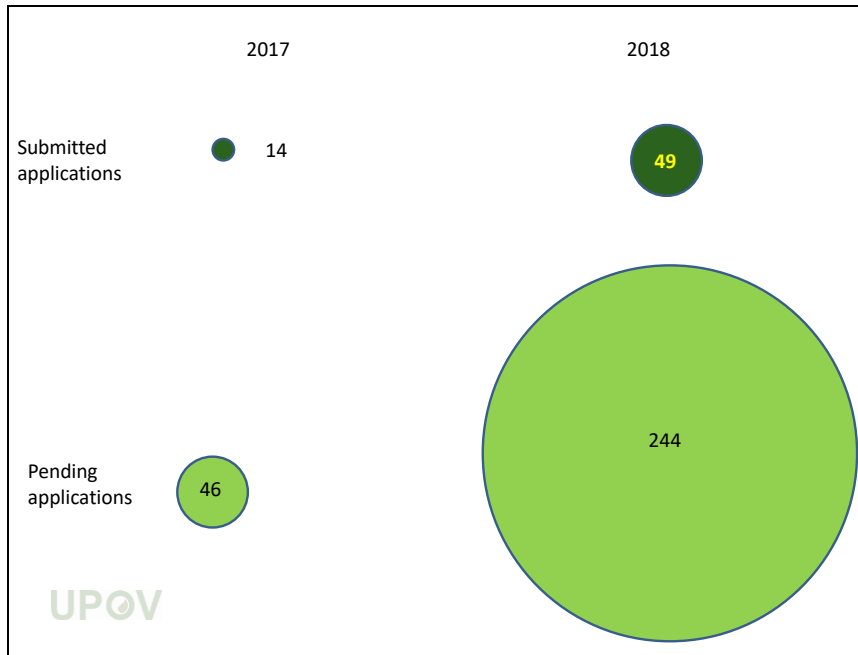


**New functionalities**  
**UPOV PRISMA Version 2.1**  
**Support of confidential data in TQ4**

The figure displays three sequential screenshots of the UPOV PRISMA Version 2.1 application form, specifically focusing on the 'Information on the breeding scheme and propagation of the variety' section. Each screenshot shows a sidebar menu on the left with options: APPLICANTS, REPRESENTATIVE(S)/AGENT(S), BREEDER(S), CORRESPONDENCE ADDRESS, DENOMINATION, and OTHER APPLICATIONS. The main content area on the right is titled 'Information on the breeding scheme and propagation of the variety'. The first screenshot shows the text 'The information provided in this section is not treated as confidential information' and 'Variety resulting from crossing 4.1' with a radio button selected for 'controlled cross'. The second screenshot shows the question 'Would you like to request to treat the information provided in this section as confidential information?' with radio buttons for 'Yes' and 'No'. The third screenshot shows the text 'The information provided in this section is automatically treated as confidential information'.

- UPOV PRISMA Version 2.1**  
**New functionalities**
- Information for Applicants;
  - Novelty/ priority alert;
  - Enhancement of agent role;
  - View application data in the final output format before submission;
  - Revise application data after submission upon PVP office request;
  - Structured TQ4, TQ7 and TQ9
  - Confidential data in Technical Questionnaire chapter 4
  - Link to UPOV PRISMA dedicated web site for users
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## Status on the use of UPOV PRISMA as of October 29, 2018

- **59** entities registered in UPOV PRISMA
  - 47 breeder administrators
  - 12 agents
- **63** applications submitted:
  - by 9 entities
  - by 1 agent
  - For 10 crops: Apple Fruit Varieties, barley, egg plant, lettuce, tomato, tomato rootstocks, potato, rose, sweet pepper, welsh onion
  - in 14 authorities: Australia, Canada, Chile, European Union, Kenya, Mexico, Netherlands, New Zealand, Norway, Switzerland, Tunisia, Turkey, United states, United Kingdom

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## Status on the use of UPOV PRISMA as of October 29, 2018

Year	Number of applications	Number of countries	Number of different applicants	Number of crops
2017	14	6 (AU, CH, CL, NO, NL, NZ)	4	3 (Apple, lettuce, potato)
2018	49	13 (AU, CA, CH, CL, GB, KE, MX, NL, NZ, QZ, TN, TR, US)	10	9 (barley, egg plant, lettuce, tomato, tomato rootstocks, potato, rose, sweet pepper, welsh onion)

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## Anticipated new participating authorities in Version 2.2 (June 2019)

- New authorities which could be included in UPOV PRISMA Version :

- Ecuador
- Dominican Republic
- Nicaragua
- Peru
- Uzbekistan
- Trinidad and Tobago

Anticipated total number
- of authorities : 36
- of countries covered: 75

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## Anticipated new Features/ functionalities in Version 2.2 (June 2019)

- Navigation language
  - Russian
- Customized Interface : Canada
- Machine to Machine communication

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# Machine to machine communication

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## PREVIEW

- Latest developments
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## UPOV PRISMA

PROMOTIONAL  
ACTIVITIES

### On-site / On-line Promotion

Done:



- CIOPORA Academy
- ISF Congress
- ESA Annual Meeting
- Vegepolys
- AOHE General Assembly



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WEBSITE & SOCIAL MEDIA

# UPOV PRISMA

## Press Articles

- European Seed (May 2018)
  - “Platform helps breeders make their varieties widely available”
- Cultivar Seed (May 2018)
  - “Know more about the plant breeders’ right application tool”
- Seed World (June 2018)
  - “Helping breeders make their varieties more widely available”
- European IPR Helpdesk (October 2018)
  - “UPOV PRISMA: Quick and easy online tool for transmission of application data for plant breeders’ rights”



WEBSITE & SOCIAL MEDIA

# UPOV PRISMA

## Communication Strategy

### Videos

PROMOTIONAL MATERIALS



UPOV PRISMA Overview - what is it and how does it work?

[Twitter](#) [LinkedIn](#)




UPOV PRISMA - How to get started

[Twitter](#) [LinkedIn](#)



UPOV PRISMA - How to start a new application

[Twitter](#) [LinkedIn](#)



UPOV PRISMA - Who's who - getting the roles right in UPOV PRISMA

[Twitter](#) [LinkedIn](#)

WEBSITE &  
SOCIAL MEDIA

# UPOV PRISMA



## MailChimp Campaigns 13 - 15

### New strategy – regular short news updates

Did you know that ...



39 UPOV members (covering 83 countries) are participating in UPOV PRISMA giving you easy access to up-to-date PVP application forms, which can be transmitted electronically.

[Click on image to see which Authorities are in UPOV PRISMA](#)



Participate in the  
ESA Annual Meeting  
October 10-12, 2018, Madrid, Spain  
(Open to the Public - Free Entry)  
For more info

READ THE POSTED

FIND OUT MORE

Did you know that ...?



UPOV PRISMA supports 14 different languages, which makes it easier for you to complete the application forms because many parts of the Technical Questionnaire are automatically translated.

[Click on image to see which languages are supported in UPOV PRISMA](#)

READ THE POSTED

FIND OUT MORE

Did you know that ...?



By creating an AGENT account in UPOV PRISMA you can increase your visibility with potential clients who will find your details when looking to assign an Agent for their application.

[Create your AGENT user account now](#)

READ THE POSTED

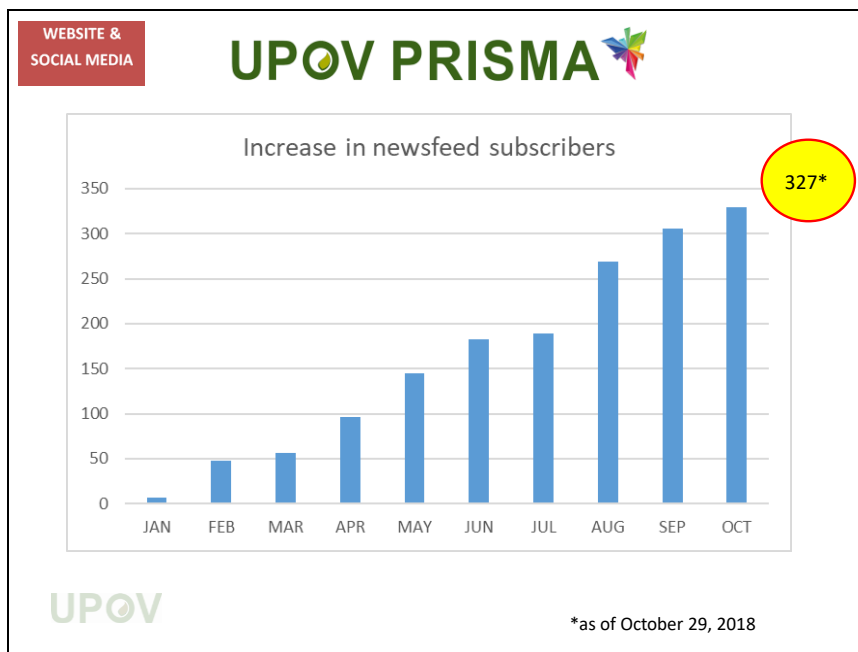
FIND OUT MORE

24 September 2018

10 October 2018


22 October 2018

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PROMOTIONAL  
ACTIVITIES

WEBSITE &  
SOCIAL MEDIA





...to help us  
promote  
UPOV PRISMA



### PVP Offices

- Add a link to your website
- Inform your applicants about UPOV PRISMA
- Follow us and share updates on social media
- Include information on UPOV PRISMA in your PVP Office training

### Breeders

- Inform your colleagues or members about UPOV PRISMA
- Follow us and share updates on your social media networks

PROMOTIONAL  
ACTIVITIES

WEBSITE &  
SOCIAL MEDIA

## Journals / blogs / websites / social media of members or partners





## PREVIEW

- Latest developments
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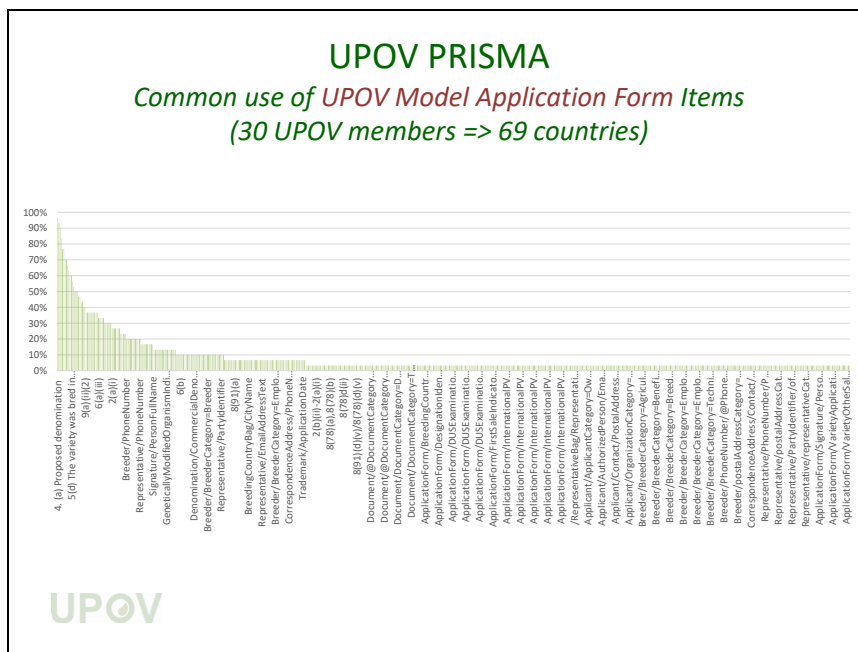
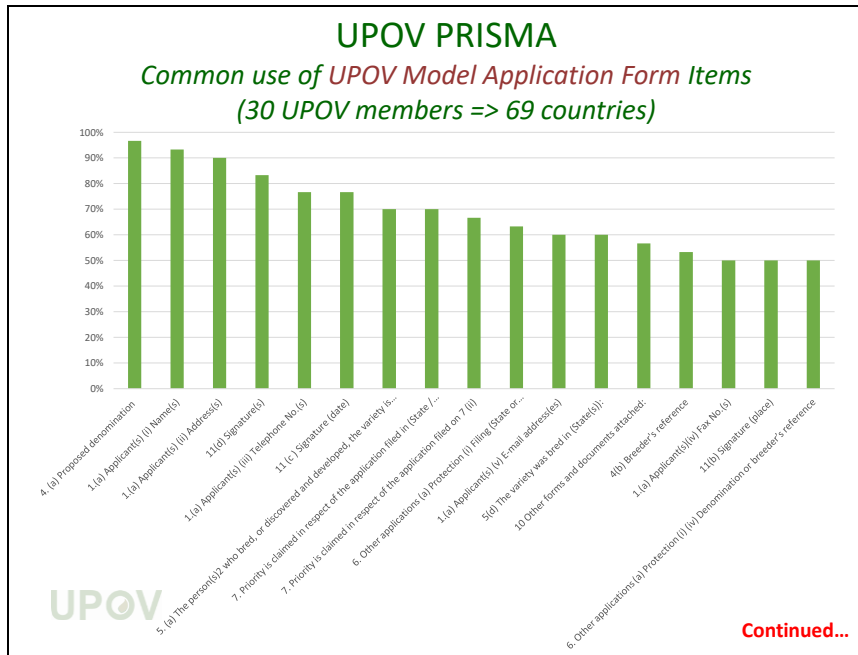
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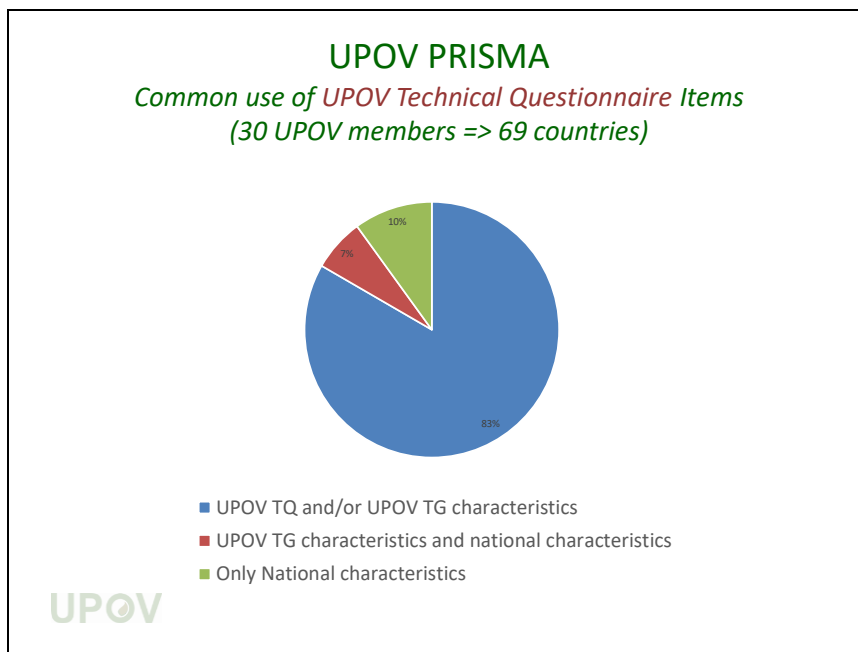
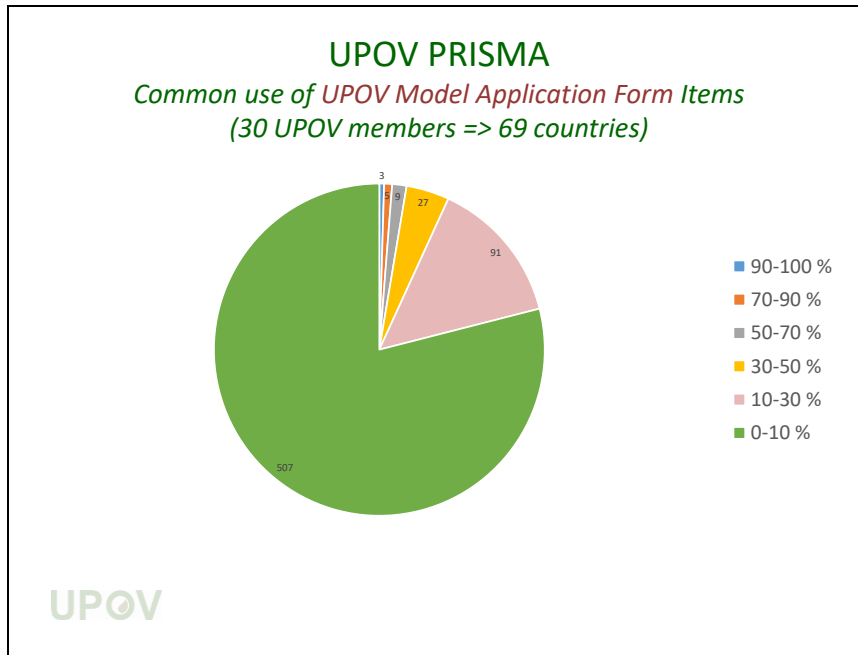
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## Conclusions from EAF/12 meeting

- Next EAF/13 Meeting:  
**Wednesday, March 27, 2019 (morning)**
- Harmonization

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## Harmonization

- Application forms:
  - TC proposed for UPOV Office, upon request, to generate a report for participating authorities on the extent to which their forms were harmonized with other UPOV members and the UPOV model application form
- Technical Questionnaires for Authorities using UPOV TG and TQs where no UPOV TG:
  - generic TQ or
  - extend coverage of UPOV TG or
  - agree TQ with interested authorities

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**CONTACT US!**

[prisma@upov.int](mailto:prisma@upov.int)

**UPOV PRISMA**

**UPOV PRISMA**  
PBR Application Tool

Quick and easy online tool for  
transmission of application data  
for Plant Breeders' Rights

[www.upov.int/upovprisma](http://www.upov.int/upovprisma)

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[End of Annex and of document]