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**UPOV**

INTERNATIONALER VERBAND  
ZUM SCHUTZ VON  
PFLANZENZÜCHTUNGEN

UNION INTERNATIONALE  
POUR LA PROTECTION  
DES OBTENTIONS VÉGÉTALES

INTERNATIONAL UNION  
FOR THE PROTECTION OF  
NEW PLANT VARIETIES

COUNCIL

Fifth Session

Geneva, October 14 and 15, 1971

DRAFT PROGRAM AND BUDGET FOR 1972

presented by the Secretary General

SUMMARY

This document contains the draft program and budget of UPOV for 1972. The budget is divided into a basic budget and an additional budget.

The proposed budget provides for expenses amounting to 439,000 Swiss francs in the basic budget and 187,000 Swiss francs in the additional budget.

This document also indicates the value of the contribution units to be paid by member States as 28,400 Swiss francs.

PART I: PROGRAM

1. It is proposed that the program for 1972 consist of the following activities:
2. Council. The Council will meet once for two days in the autumn to exercise its functions as provided in the UPOV Convention.
3. The Working Committee, consisting of the President and the Vice President and delegates from the remaining member States, will meet once for two days in the exercise of its functions as a consultative body to the Council.
4. The Working Group on Variety Denominations will meet once for two days to study and elaborate proposals on questions related to the denomination of new plant varieties.
5. The five Technical Working Parties for
  - (i) Self-fertilized Agricultural Crops (including potatoes),
  - (ii) Cross-fertilized Agricultural Crops,
  - (iii) Vegetables,
  - (iv) Fruit Crops,
  - (v) Ornamental Plants,will each meet once for one or two days to study and make proposals concerning the possibilities of adopting uniform methods, procedures and standards for the examination of varieties, uniform administrative procedures in that field--in particular the drawing up of guidelines for the conduct of tests--standard forms for technical information from breeders, exchange of results of examination between national authorities, and other relevant matters of a technical nature.
6. The Secretariat will assist, as far as possible, the Coordinator and the Chairmen of the Technical Working Parties in the preparation and organization of the meetings of the Working Parties.
7. Joint Trial Arrangements. The Secretariat will collaborate with the national authorities of member States with a view to the joint utilization of services entrusted with the examination of new varieties under Article 7 and 30(2) of the Convention so as to reduce the costs of trials and to establish a division of labor between member States. Besides

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the work to be done by the Technical Working Parties for the harmonization of the trials (see paragraph 5 above), practical solutions will depend on the harmonization of trial fees, agreements on reference collections, exchange of information and plant material directly between national services. The Secretariat will study these problems and discuss them with the national authorities.

8. Information and Documentation Service. Owing to the lack of staff, the collection of legislative texts and translations thereof, the information on examination of new plant varieties, and publication of the above items has been done only to the extent required for the work of the Secretariat. It would be desirable to increase this work, in addition to:

- (i) collecting information regarding plant breeders' rights systems adopted by countries not represented at the meetings of the Council of UPOV, and distributing such information to member States, signatory States and other interested States;
- (ii) establishing a vocabulary of UPOV terms in three languages as a daily routine task of the Secretariat;
- (iii) publishing a special UPOV periodical.

9. Exchange of Variety Denominations. By decision of the Council the implementation of Article 13(6) of the Convention on the exchange of variety denominations through the Secretariat has been postponed, and for the time being the Secretariat will not carry out the tasks provided for under Article 13(6).

10. Advice to Governments. As far as possible the Secretariat will be at the disposal of the Governments of member States to give them advice and information connected with the application of the UPOV Convention as well as the Governments of States not yet members of UPOV but which are contemplating the possibility of ratifying or acceding to the Convention or of following the work of UPOV.

11. Public Relations. The Secretariat will maintain contacts not only with Governments but also with interested inter-governmental organizations, particularly the Food and Agriculture Organization (FAO), the Organisation for Economic Cooperation and Development (OECD) and the European and Mediterranean Plant Protection Organization (EPPO), with semi-governmental organizations such as the International Seed Testing Organization and with private (professional) international organizations such as ASSINSEL, CIOPORA and AIPPI, in order to:

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- (i) make them aware of the objectives and activities of UPOV;
- (ii) learn about their activities;
- (iii) examine the desirability of establishing regular contacts between UPOV and such organizations in the form of exchanges of publications, mutual attendance of certain meetings, and other appropriate means;
- (iv) hear the views of such organizations as to the priorities that ought to be fixed in the field of international cooperation concerning plant breeders' rights.

12. Symposium. A symposium on plant breeders' rights, to be held in 1972 and to be sponsored by UPOV, should not only deal with the advantages of introducing measures for the protection of plant breeders' rights but also acquaint authorities and private experts with various possibilities of administering laws protecting plant breeders' rights and examining new varieties as to distinctness, homogeneity and stability. The Secretariat will, after consultation with the competent national authorities, select a place for holding it, invite speakers, and make other preparations for it. Considering the expenditure involved to be a sort of "investment in the future," it is proposed that these costs be borne by the Reserve Fund.

13. Staff. It is proposed that the staff of the UPOV Department be enlarged by adding to it an expert in botany, genetics, or agricultural sciences in general and to employ two more secretaries, not only in order to take appropriate care of the technical aspects of the above program but also to fulfill the more general tasks it involves. The proposed new post of expert is budgeted for at P.3 but final classification will have to await the drawing up of a detailed job description.

PART II: BUDGET

14. General. Considering the desirability of maintaining the contribution units at the same level (roughly 26,000 Swiss francs) as in previous years (with a reservation as to inflation), the possibility of an increase in the staff of UPOV, and consequently of its activities, will present itself only if new States become members of UPOV or the present member States undertake to pay more units. Reference is made

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to the draft resolution passed by the Consultative Working Committee on May 7, 1971, for submission to the Council (document UPOV/C/V/6) on an amendment of the provisions on the contribution classes (Article 26 of the Convention), together with a recommendation regarding voluntary increases of contributions by some States.

15. The proposed budget for 1972 is divided into two parts, one (the basic budget) for the case of an unchanged number of contribution units to be paid in 1972 (12 units), and one (an additional budget) for the case that more States become paying members of UPOV in 1972 and the recommendation on voluntary increase is accepted by the States concerned. In this event the number of units would increase to 20.

16. Since other possibilities may arise, giving a number of units between 12 and 20, it is proposed that in such a case the Secretary General be empowered to spend, within the margin of the units paid in excess of 12, a proportional part of the additional budget.

Expenses

17. Expenses fall into two main categories: expenses proper to UPOV, and UPOV's share in expenses common to UPOV and WIPO (BIRPI) (hereinafter referred to as "common expenses"). All amounts are expressed in thousands of Swiss francs.

EXPENSES PROPER TO UPOV

Ordinary expenditure

18. Personnel. Expenses consist of basic salary, post adjustments, non-resident allowances, dependency and language allowances, education grants, employer's contribution towards Pension Fund, insurance premiums, recruitment costs, and any other payments made to or on behalf of staff members under the Staff Rules and Regulations:

	Basic budget	Add. budget
Secretary General	19	
Vice Secretary General (D.1)	116	
Specialist (P.3) (from April 1)		54
Secretary (G.5)	36	
Secretary (G.4) (from June 1)		20
Secretary (G.3) (from October 1)		9
Temporary help	3	
Recruitment costs (incl. moving costs)	—	<u>12</u>
Total staff	174	95

19. Technical Consultants.

Provision for the possibility of paying remuneration to experts in special cases:

5

20. Missions. Expenses

consist of the cost of travel, per diem allowances and incidentals of the Secretary General, and the staff of the UPOV Department.

12

10

21. Third Party Travel. These costs are primarily intended to cover travel expenses for the technical consultants mentioned in paragraph 19 above.

1

22. Conferences. Expenses

consist of the fees of interpreters, operators of simultaneous interpretation equipment, refreshments and receptions, translators and minute writers if specially hired. In 1972, the Council will meet for 2 days, the Working Committee and the Working Group on Variety Denominations for 4 days.

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	Basic budget	Add. budget
23. <u>Contracts</u> . Fees for outside translators for translating documents and publications which the common services of WIPO (BIRPI) cannot handle (mainly from and into German):	14	
24. <u>Printing</u> . Expenses consist of the cost of paper, printing and binding of reviews, manuals and other publications. If possible, UPOV should start the publication of a periodical.	4	10
25. <u>Rental</u> . Rental value of premises used exclusively by the UPOV Department:	7	6
26. <u>Equipment and Supplies</u> . Expenses include furniture, office machines, supplies and other materials specially purchased for the exclusive use of the UPOV Department:	4	9
27. <u>Unforeseen</u> . One percent of the total budget.	<u>3</u>	<u>1</u>
28. Total of ordinary expenditure proper to UPOV	<u>238</u>	<u>131</u>

Extraordinary Expenditure

29. It is proposed that the following costs should be borne by the Reserve Fund of UPOV, if need be, since they represent, generally speaking, non recurring items:



Basic budget      Add. budget

30. Symposium. The costs of a symposium cannot be assessed as long as a detailed plan has not been made. On the basis of the costs of similar arrangements, they are estimated at approximately 40

31. Revision Conference. The costs are estimated at 10

32. Library. Practically no technical literature being at the disposal of the Secretariat, it is proposed to start building up a library of technical literature in the three working languages of the Union. The purchase will necessarily take some years, and the main works will be ordered after consultation with the national services. Estimate for first year 8

33. Total of extraordinary expenditure proper to UPOV 58

34. COMMON EXPENSES. These expenses include contributions towards (a) the cost of staff rendering common services, (b) the cost of premises used by common services, (c) equipment and supplies for the common services, (d) postage, telephone and unforeseen. On the basis of the costs in 1970 they are estimated at 143

The increase in common expenses attributable to UPOV staff and UPOV activities is difficult to estimate. Most of the common expenses are expected to increase proportionally, but some may diminish owing to the fact that some work hitherto performed

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Basic budget      Add. budget

by the common services may be taken over by UPOV staff. It is estimated that the increase will result in a smaller proportion, namely

	—	<u>56</u>
Common expenses	<u>143</u>	<u>56</u>

35. Total expenditure

Expenses proper to UPOV:

Ordinary	238	131
Extraordinary	58	0
Common expenses	<u>143</u>	<u>56</u>
Total	439	187
	===	===

Total of basic and additional budget (439 + 187)

=      626  
=====

INCOME

36. Contribution Units. In view of the desirability of maintaining the contribution units at the same level as in previous years, it is proposed not to increase the units by more than is necessary to keep pace with rising costs. In the budgets for 1970 and 1971 the value of a unit was 25,833 Swiss francs, and it is proposed to fix the value of a unit for 1972 at 28,400 Swiss francs which corresponds to an increase of approximately ten percent.

37. In case no changes occur in the number of units, the contribution from member States would be as follows:

<u>State</u>	<u>No. of Units</u>	<u>Amount</u>
Denmark	1	28,400 Sw. frs.
Germany (Fed. Rep.)	5	142,000 Sw. frs.
Netherlands	1	28,400 Sw. frs.
United Kingdom	<u>5</u>	<u>142,000 Sw. frs.</u>
Total	12	340,800 Sw. frs.
	==	=====

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38. In this case only the basic budget can be implemented. It is proposed that in this case the basic budget be covered as follows:

Total expenditure	439
Extraordinary expenses proper to UPOV to be covered by Reserve Fund	<u>58</u>
Rest to be covered otherwise	381
Contributions from member States	<u>341</u>
Deficit to be covered by Reserve Fund	40
	===

As can be seen, the Reserve Fund would be reduced by 98,000 Swiss francs.

39. In case the anticipated changes mentioned in paragraph 14 above take place, the contributions from member States will be as follows:

<u>State</u>	<u>No. of Units</u>	<u>Amount</u>
Denmark	1½	42,600
France	5	142,000
Germany (Fed. Rep.)	5	142,000
Netherlands	2	56,800
Sweden	1½	42,600
United Kingdom	<u>5</u>	<u>142,000</u>
Total	20	568,000
	===	=====

40. In this case it will be possible to implement also the additional budget, and it is proposed that the total budget, including both the basic and the additional budget, be covered as follows:

Total expenditure:	
Basic budget	439
Additional budget	<u>187</u>
Total:	626
Extraordinary expenses to be covered by Reserve Fund	<u>58</u>
Remainder to be covered by contributions	568
	===

As can be seen from the above, the Reserve Fund would be reduced by 58,000 Swiss francs.

41. It should be mentioned that the additional staff proposed in paragraph 18 above will be employed only during part of the year and that the salary of this staff for a full year would be by approximately 59,000 Swiss francs higher.

42. The Secretary General proposes to the Council:

- (i) to adopt in any case the basic budget with a total of 439,000 Swiss francs;
- (ii) to fix in any case the value of a contribution unit at 28,400 Swiss francs;
- (iii) to authorize the Secretary General to defray additional expenditure within the additional budget in proportion to the increase of the total contributions as set out in paragraph 39 above;
- (iv) to request member States to pay their contributions for 1972 in accordance with the amounts calculated in paragraph 37 or, as the case may be, in paragraph 39.

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