



Disclaimer: unless otherwise agreed by the Council of UPOV, only documents that have been adopted by the Council of UPOV and that have not been superseded can represent UPOV policies or guidance.

This document has been scanned from a paper copy and may have some discrepancies from the original document.

Avertissement: sauf si le Conseil de l'UPOV en décide autrement, seuls les documents adoptés par le Conseil de l'UPOV n'ayant pas été remplacés peuvent représenter les principes ou les orientations de l'UPOV.

Ce document a été numérisé à partir d'une copie papier et peut contenir des différences avec le document original.

Allgemeiner Haftungsausschluß: Sofern nicht anders vom Rat der UPOV vereinbart, geben nur Dokumente, die vom Rat der UPOV angenommen und nicht ersetzt wurden, Grundsätze oder eine Anleitung der UPOV wieder.

Dieses Dokument wurde von einer Papierkopie gescannt und könnte Abweichungen vom Originaldokument aufweisen.

Descargo de responsabilidad: salvo que el Consejo de la UPOV decida de otro modo, solo se considerarán documentos de políticas u orientaciones de la UPOV los que hayan sido aprobados por el Consejo de la UPOV y no hayan sido reemplazados.

Este documento ha sido escaneado a partir de una copia en papel y puede que existan divergencias en relación con el documento original.

UPOV

C/IX/4

ORIGINAL: English

DATE: July 30, 1975

INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS

GENEVA

COUNCIL**Ninth Ordinary Session
Geneva, October 7 to 10, 1975**

DRAFT PROGRAM AND BUDGET FOR 1976

presented by the Secretary General**SUMMARY**

This document contains the draft program and budget of UPOV for 1976.

The proposed budget provides for expenses amounting to 872,000 Swiss francs. Approximately 90% of this amount would be covered by contributions payable by member States (800,000 Swiss francs) and the balance from the rest of the Reserve Fund and miscellaneous receipts.

INTRODUCTION

The Present Document

1. The present document is subdivided into three parts:
 - (i) Program and Expenses (Part I)
 - (ii) Income (Part II)
 - (iii) Comparative Table of Income and Expenditure (Part III).

The first describes each activity proposed and its cost, including staff costs, and gives an estimate of the amount payable by UPOV to WIPO for services rendered (Common Expenses); the second describes the sources and amount of income; and the third shows the difference between income and expenditure and contains proposals relating to the said difference.

2. A summary table of income and expenditure proposed to be budgeted for 1976 appears in Annex I, together with comparative figures for the year 1974 (actual) and 1975 (adopted budget).
3. Annex II shows the amount of the contribution payable by each member State on the assumption that the total amount of contributions proposed in the present budget is adopted and on the basis of the present membership of UPOV.

Budget Headings

4. The budget headings are the same as in previous years. Their description is contained in Annex III.

PART I: PROGRAM AND EXPENSES

5. The program for 1976 will in essence be a continuation of the work done in 1975. In detail, it will consist of the following activities :
6. Council. The Council will probably meet once for three or four days at Geneva to exercise its functions as provided in the UPOV Convention.

UV.01 Conferences: 12,000

7. The Consultative Committee, consisting of delegates from all member States, will meet twice in Geneva for up to a total of five days, in the exercise of its functions as a consultative body of the Council.

UV.02 Conferences: 15,000

8. The Technical Steering Committee will meet at least twice, for up to three days each time, to study and make proposals concerning the possibility for adopting uniform methods, procedures and standards for the examination of varieties, to supervise under the guidance of the Council the work of the Technical Working Parties and to give advice to the Council in all questions of a technical nature within UPOV. No interpretation will be provided for these meetings.

UV.03 Conferences: 1,000

* All figures shown in this document relate to Swiss francs.

9. The five Technical Working Parties for

- (i) Agricultural Crops,
- (ii) Vegetables,
- (iii) Fruit Crops,
- (iv) Ornamental Plants, and
- (v) Forest Trees,

will each meet probably once or twice for two or three days, at a national office or at the headquarters of UPOV, to draw up guidelines for the conduct of tests of new varieties and standard forms for technical information from breeders applying for protection. No interpretation will be provided for these meetings.

UV.04 Conferences: 1,000
UV.04 Missions: 12,000

10. The Committee of Experts for International Cooperation in Examination will meet twice in Geneva, for three days each time, to study and prepare proposals for the centralized testing in one or two Offices of member States of new varieties of plants, for the exchange of test results between Offices of member States and for other possibilities of technical cooperation between these Offices. Only on two days of each of these sessions, will interpretation be provided for.

UV.05 Conferences: 14,000

11. The Committee of Experts for the Interpretation and Revision of the Convention will meet twice, for four days each time, to study and prepare proposals for a more flexible interpretation or a possible revision of certain provisions of the Convention to allow more States to join the Union or to improve the Convention in other respects. Only for three days of each of these meetings, will interpretation be provided for.

UV.06 Conferences: 18,000

12. The Working Group on Variety Denominations will meet jointly or in connection with a session of the Committee of Experts on the Interpretation and Revision of the Convention. Consequently, no extra expenses arise.

13. The Fee Harmonization Working Party will probably meet jointly or in connection with a session of the Committee of Experts on International Cooperation in Examination. Consequently, no extra expenses arise.

14. Information and Documentation Service.

The Office of the Union will:

- (i) continue to collect legislative texts of member States and non-member States;
- (ii) continue to collect information on the implementation, in practice, of plant breeders' rights systems in various countries;
- (iii) publish new editions of General Information Brochure on UPOV in three working languages where the stock of the present editions is exhausted;
- (iv) continue establishing a vocabulary of UPOV terms in English, French and German;
- (v) continue the publication of an Information Newsletter. Recipients will in general be charged a fee for the copies they receive so as to cover part of the cost.

UV.07 Printing: 4,000

15. Exchange of Variety Denominations. By decision of the Council, implementation of Article 13(6) of the Convention on the exchange of variety denominations through the Office of the Union has been postponed, and also for 1976 the Office of the Union will not carry out the tasks provided for under the said Article 13(6) (see documents UPOV/C/V/33 and UPOV/C/VII/5 Rev., paragraph 11).

16. Advice to Governments. The Office of the Union will be available--if so required--to give advice and information on the application of the UPOV Convention to the Governments of member States as well as to the Governments of States not yet members of UPOV which are contemplating the possibility of ratifying or acceding to the Convention or of following the work of UPOV.

17. Contacts with Governments, Intergovernmental and Non-Governmental Organizations. The Office of the Union will maintain contacts with Governments of both member States and non-member States, with interested intergovernmental organizations, particularly the Food and Agriculture Organization of the United Nations (FAO) and the Organization for Economic Cooperation and Development (OECD), with semi-governmental organizations such as the International Seed Testing Organization (ISTA), and with international non-governmental organizations working in the field of plant breeding and seed trade such as AIPH, ASSINSEL, CIOFORA and FIS and with other international non-governmental organizations such as AIPPI and ICC, in order to:

(i) inform them of the objectives and activities of UPOV;

(ii) learn about their activities;

(iii) institute, where appropriate, exchanges of publications, mutual attendance of certain meetings, and other means of cooperation;

(iv) hear the views of such organizations as to the priorities that ought to be fixed in the field of international cooperation concerning plant breeders' rights and as to other questions of mutual interest.

UV.08 Missions: 19,000

18. Symposium. At its ninth session, the Consultative Working Committee postponed until a later date the decision on holding the Symposium which it had originally planned to hold in the United Kingdom in 1973. In so doing, it took into account the fact that already in 1974, according to a Council decision (see UPOV/C/VII/21, paragraph 39), UPOV would organize a discussion meeting at governmental level. This discussion meeting of representatives of member and non-member States took place in October 1974, but it is not regarded as a substitute for the Symposium originally planned. The Office of the Union considers to organize a symposium on a more or less self-supporting basis the earliest in spring 1978. In view of this plan, the draft budget does not contain any provision for the said symposium in 1976.

19. Personnel. The Office of the Union will continue to consist of the Secretary-General, the Vice Secretary-General (D1/D2) and four staff members (P3, P1, G5, G4).

UV.09 Personnel: 453,000

Other Administrative Expenses

20. The Office of the Union will continue to occupy space in the WIPO buildings.

UV.10 Rental: 25,000

21. The Office of the Union will continue to bear the costs of office furniture and equipment, supplies and other material purchased for the exclusive use of the Office.

UV.11 Equipment and
Supplies: 6,000

22. Subscriptions to periodicals and the purchase of dictionaries, technical literature, etc., will be necessary.

UV.12 Library: 2,000

23. As in previous years, approximately one percent of the total expenses proper to UPOV is budgeted for expenses not specifically provided for above or for unforeseen expenses.

UV.13 Unforeseen: 6,000

Common Expenses

24. UPOV's share in the common expenses is, where possible, based on a calculation of the services actually rendered by WIPO or on an estimation. Reference is made to WIPO document AB/VI/2 of May 30, 1975 (available in English and French only), where specifications and explanations are given. In particular, see WIPO common expenses items DC.01, DC.04, DC.05, DC.06, DC.07, DC.08, DC.09, DC.10, DC.12, DC.21, DC.26, DC.27, DC.29, DC.30, DC.32, DC.33 and DC.34 and the "Recapitulation table of expenses by Expenditure Heading and by Union or other Unit" in Chapter I. Attention is also drawn to paragraph 7 of the said document, as well as to paragraph 27. It is to be noted that, in estimating the percentage allocated to UPOV in item DC.01, the salary of the Director General of WIPO was not taken into account. Summary details of these expenses appear in Annex I.

UV.14 Common Expenses: 284,000

PART II: INCOME

25. It is proposed to fix the total amount of the contributions at 800,000 Swiss francs. The income for 1976 would thus be:

Contributions	800,000
Miscellaneous	<u>16,000</u>
TOTAL INCOME	<u>816,000</u>

26. It is to be noted that, compared with the budget of 1975, expenses would increase in 1976 from 799,000 francs to 872,000 francs, that is by 9.1%, whereas the contributions would increase from 670,000 to 800,000 francs, that is by 19.4%.

(i) The staff expenses increase from 434,000 to 453,000 francs. The difference of 19,000 is due in part to a proposed increase in the salary of the Secretary-General (17,000 francs). Without such an increase, this part of the budget would practically show no increase over 1975 which is due to the fact that one of the posts was, in the 1975 budget, calculated at a higher grade (P.3) than the one at which it is actually filled (P.1).

(ii) The participation in the common expenses increases from 243,000 to 284,000 francs, that is, by 16.8%, which is due to the general increase of salaries and costs as well as a somewhat higher use of the common services (the percentage that common expenses represents in the total 1975 budget was 30.4%; it is 32.5% in the 1976 budget).

(iii) The other expenses increase from 122,000 to 135,000, that is, by 10.6% or 13,000 francs. The difference comes mainly from increases in missions (4,000) and conferences (17,000) and reductions in outside contracts (5,000) and printing (5,000). The details are shown in Annex I.

(iv) The increase in contributions, 130,000 francs, would be only 66,000 francs (that is, 9.8%) if, as in the budget of 1975, one could take 120,000 francs from the reserve fund. Recourse to the reserve fund, however, will only be possible in 1976 to a maximum estimated extent of 56,000 francs.

PART III: COMPARATIVE TABLE OF INCOME AND EXPENDITURE

27. A comparison of the proposed income and expenditure gives the following results.

Income (see Part II above)	816,000
Expenditure (as per details in Part I summarized in Annex I)	<u>872,000</u>
Deficit	56,000

28. In order to limit the increase in contributions as much as possible, the Secretary-General proposes that any deficit should be covered from the Reserve Fund amounting, at the end of 1974, to approximately 146,000 Swiss francs, but out of which the actual deficit for 1975 (which is expected to be less than budgeted) is to be covered (see document UPOV/C/VIII/5, paragraph 29).

29. It should be noted, however, that according to the present draft budget the Reserve Fund would be totally exhausted at the end of 1976 and that, accordingly, the expenses for 1977 will have to be borne totally by the contributions (and not, as in 1973, 1974, 1975 and 1976, partly by contributions, partly by the Reserve Fund). It may be estimated that, unless new member States adhere in the course of 1976, the increase of the contributions between 1976 and 1977, with unchanged program, will be around 25%.

30. The Council is invited to adopt the program and budget of the Union for the year 1976.

[Annexes I to III follow]

ANNEX I

RECAPITULATION AND COMPARISON
(expressed in thousands of Swiss francs)

<u>1974</u> <u>Actual</u>	<u>1975</u> <u>Budget</u>		<u>1976 Proposed Budget</u>
		<u>INCOME:</u> (UPOV proper)	
520	670	Contributions	800
<u>13</u>	<u>9</u>	Miscellaneous	<u>16</u>
<u>533</u>	<u>679</u>	TOTAL INCOME	<u>816</u>
		<u>EXPENDITURE:</u>	
315	434	Personnel (UV.09)	453
12	27	Missions:	31
		(UV.04 12)	
		(UV.08 19)	
27	44	Conferences:	61
		(UV.01 12)	
		(UV.02 15)	
		(UV.03 1)	
		(UV.04 1)	
		(UV.05 14)	
		(UV.06 18)	
3	5	Contracts	-
30	9	Printing: (UV.07)	4
15	22	Rental (UV.10)	25
5	7	Equipment and Supplies (UV.11)	6
1	2	Library (UV.12)	2
<u>-</u>	<u>6</u>	Unforeseen (UV.13)	<u>6</u>
408	556	Total expenses proper to UPOV	588
<u>213</u> **	<u>243</u>	Common Expenses (UV.14) *	<u>284</u>
<u>621</u> <u>===</u>	<u>799</u> <u>===</u>	TOTAL EXPENDITURE	<u>872</u> <u>===</u>

/Annex II follows/

* Details of common expenses:

<u>1974</u>	<u>1975</u>		<u>1976</u>
173	200	Personnel	224
-	1	Contracts	5
5	7	Rental	12
8	9	Building maintenance	13
19	10	Equipment and supplies	14
-	1	Library	-
12	11	Postage	11
2	2	Telephone	2
1	2	Unforeseen	3
<u>1</u>	<u>-</u>	Printing	<u>-</u>
221	243		284
<u>8</u>	<u>===</u>	less "common income"	<u>===</u>
<u>213</u> <u>===</u>			

** Net of "Common Income"

ANNEX II

CONTRIBUTIONS PAYABLE BY MEMBER STATES
(expressed in Swiss francs)

<u>Actual 1974</u>	<u>Budget 1975</u>	<u>Member States</u>	<u>No. of units</u>	<u>Proposed Budget 1976</u>
39.000	50.250	Denmark	(1½)	60.000
130.000	167.500	France	(5)	200.000
130.000	167.500	Germany (Federal Republic of)	(5)	200.000
52.000	67.000	Netherlands	(2)	80.000
39.000	50.250	Sweden	(1½)	60.000
130.000	167.500	United Kingdom	(5)	200.000
<u>520.000</u> =====	<u>670.000</u> =====		<u>(20)</u> =====	<u>800.000</u> =====

[Annex III follows]

C/IX/4

ANNEX III

BUDGET HEADINGS AND DEFINITIONS

A. INCOME

"Contributions"

Mandatory contributions payable by member States under Article 26 of the UPOV Convention.

"Miscellaneous"

All other income including bank interest and sales of brochures.

B. EXPENDITURE

"Personnel"

Basic salaries, post adjustments, non-resident allowances, dependency and language allowances, education grants, employer's contribution towards Pension Fund, participation in sickness and accident insurance scheme, recruitment costs, installation and removal expenses, and any other payments made to or on behalf of staff members under the Staff Rules and Regulations.

"Missions"

Cost of travel and daily subsistence allowances and incidentals of the Office of the Union.

"Conferences"

Interpreters, translators, operators of simultaneous interpretation equipment, the cost of serving refreshments and giving receptions, and, where conferences do not take place in the WIPO building, the cost of renting premises and the cost of renting or using simultaneous interpretation equipment.

"Contracts"

Fees for outside translators for translating documents and publications which neither the Office of the Union nor the Common Services of WIPO can handle.

"Printing"

Cost of paper, printing and binding of reviews, manuals and other publications when such work is done outside WIPO.

"Rental"

Rental value of premises which are used exclusively by the Office of the Union. (This item does not include the contribution of UPOV to the WIPO common expenses for the space occupied by the Common Services.)

"Equipment and Supplies"

Furniture, office machines, supplies and other materials specially purchased for the exclusive use of the Office of the Union.

"Library"

Subscriptions to periodicals, dictionaries and technical literature for the exclusive use of the Office of the Union.

"Unforeseen"

Expenses not specifically provided for above and unforeseen expenses.

"Common Expenses"

Expenses payable to WIPO for services rendered to UPOV. These include contributions towards (a) the cost of staff rendering common services, (b) the cost of premises used by the Common Services, (c) the maintenance of the office buildings, (d) equipment and supplies for the Common Services, (e) postage, telephone and unforeseen.

[End of document]