

#### UPOV/INF/5/2 Draft 2

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# DRAFT (Revision)

## **UPOV MODEL PLANT BREEDERS' RIGHTS PUBLICATION**

Document prepared by the Office of the Union

to be considered by

the Council at its fifty-third ordinary session to be held in Geneva on November 1, 2019

Disclaimer: this document does not represent UPOV policies or guidance

## Note for Draft version

**Endnotes** provide background information when considering the draft version, not to be retained in the approved version of the document.

**Footnotes** to be retained in the published version of the document.

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# PREAMBLE

- 1. The purpose of this document is to provide members of the Union with guidance and explanations on how to ensure that the public is informed through the regular publication of information concerning applications for and grants of breeders' rights, and proposed and approved denominations.
- 2. The structure of the document is as follows:

Preamble

- Part I. Introduction to the UPOV Model Plant Breeders' Rights Publication
- Part II. Example of Plant Breeders' Rights Publication

#### PART I. INTRODUCTION TO THE UPOV MODEL PLANT BREEDERS' RIGHTS PUBLICATION

3. Members of the Union are required to inform the public of relevant matters concerning breeders' rights as provided in Article 30(1)(iii) of the 1991 Act of the UPOV Convention and Article 30(1)(c) of the 1978 Act of the UPOV Convention.

#### 1991 Act of the UPOV Convention

#### Article 30

#### Implementation of the Convention

(1) [Measures of implementation] Each Contracting Party shall adopt all measures necessary for the implementation of this Convention; in particular, it shall:

[...]

- (iii) ensure that the public is informed through the regular publication of information concerning
  - applications for and grants of breeders' rights, and
  - proposed and approved denominations.

#### 1978 Act of the UPOV Convention

#### Article 30

#### Implementation of the Convention on the Domestic Level; Contracts on the Joint Utilisation of Examination Services

(1) Each member State of the Union shall adopt all measures necessary for the application of this Convention; in particular, it shall:

[...]

- (c) ensure that the public is informed of matters concerning such protection, including as a minimum the periodical publication of the list of titles of protection issued.
- 4. The information to be published by the members of the Union can be categorized as follows:
  - Minimum information
  - Additional information
- 5. Part II of this document provides illustrative examples on the publication of information. The minimum information to be published is presented in dark green and a non-exhaustive list of possible additional information is provided in light green.

### PART II. EXAMPLE OF PLANT BREEDERS' RIGHTS PUBLICATION

- 6. This Part provides an example for the possible presentation of a publication.
- 7. The structure of this Part is as follows:
  - Introduction
  - Example of table of contents
  - Examples on the information concerning each title in the table of contents

#### Introduction

- 8. The information concerning applications for breeders' rights may be presented in alphabetical order of the relevant plant genera and species, listed by botanical name, and the examples in Part II of this document is structured in this way. Members of the Union may also provide hyperlinks to the content of the chosen item.
- 9. UPOV has established a UPOV Code System to overcome the problem of synonyms for plant taxa. That is achieved by attributing each taxa a code according to the UPOV Code System ("UPOV code"); synonyms for the same plant taxa are attributed the same UPOV code.
- 10. The guide to the UPOV Code System is available at <a href="http://www.upov.int/genie/resources/pdfs/upov\_code\_system\_en.pdf;jsessionid=C6D70B24B0B4CF3EBC46">http://www.upov.int/genie/resources/pdfs/upov\_code\_system\_en.pdf;jsessionid=C6D70B24B0B4CF3EBC46</a> 57FD30CAF98F.
- 11. To facilitate the filing of applications, UPOV has developed the UPOV PRISMA PBR application tool which enables applicants to transmit their application data to participating members of the Union via the UPOV website (available at <a href="http://www.upov.int/upovprisma">http://www.upov.int/upovprisma</a>). Applications submitted via UPOV PRISMA will be allocated an International Reference Number (IRN), which relevant members of the Union may include in their publications.
- 12. The information concerning proposed denominations is often published with the information concerning new applications for breeders' rights. It may be the case that at the time of the filing or the publication of the application the breeder is not in a position to propose a denomination. In such cases, the breeder can provide a "breeder's reference" and the application will be published with such a breeder's reference. When the proposed denomination becomes available it will need to be published in a section concerning denominations.

#### Example of table of contents

#### PLANT BREEDERS' RIGHTS PUBLICATION No. X - Month and Year

#### TABLE OF CONTENTS:

#### Applications for breeders' rights

[Listed by botanical and/or common name]

#### Proposed variety denominations / breeders' references

[Listed by botanical and/or common name]

#### Grants of breeders' rights and approved denominations

[Listed by botanical and/or common name]

#### Withdrawal or rejection of applications for breeders' rights

#### **Applications withdrawn**

[Listed by botanical and/or common name]

### **Applications rejected**

[Listed by botanical and/or common name]

#### Matters after the grant of breeders' rights

## Nullity of breeders' rights

[Listed by botanical and/or common name]

#### Cancellation of breeders' rights

[Listed by botanical and/or common name]

#### Renunciation of breeders' rights

[Listed by botanical and/or common name]

## Expiry of the period of protection of breeders' rights

[Listed by botanical and/or common name]

#### **Changes**

Changes to variety denominations

#### Changes to proposed variety denominations

[Listed by botanical and/or common name]

#### Proposals to change approved variety denominations

[Listed by botanical and/or common name]

## Approvals of new variety denominations of protected varieties

[Listed by botanical and/or common name]

Changes concerning applicants, holders of breeders' rights or their representatives

## Changes concerning applicants or representatives

[Listed by botanical and/or common name]

# Changes concerning holders of breeders' rights or representatives

[Listed by botanical and/or common name]

#### Examples on the information concerning each title in the table of contents

### Applications for breeders' rights

Chrysanthemum

Chrysanthemum L. (UPOV Code: CHRYS)

| Application<br>Number | International<br>Reference Number <sup>1</sup> | Applicant <sup>2</sup>                              | Date of Filing <sup>3</sup> | Proposed<br>Denomination | Priority:<br>State/Organization <sup>4</sup><br>and date | ,   | Representative | Variety<br>Descriptions and<br>Photographs |
|-----------------------|--|---|-----------------------------|--------------------------|--|---|----------------|--|
| C12                   |  | Rosier et Cie<br>Rue Berri, Montreal<br>CA          | 12.03.2018                  | Queen                    | FR 2018-01-20  | Karl Züchter<br>Mattenhofstr., Bern<br>CH |                |  |
| C 14                  | XU_302018000000112                             | Emma Janssens<br>Rue de Stassart,<br>Brussels<br>BE | 17.03.2018                  | Marquise                 | FR 2018-01-20  |   |                |  |

#### Wheat

| Application<br>Number | International Reference<br>Number | Applicant                                       |            | Proposed<br>Denomination | Breeder's<br>Reference | Priority:<br>State/Organization<br>and date | ,   | Representative                               | Variety<br>Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|---|------------|--------------------------|------------------------|---|---|--|--|
| E 250                 | XU_30201800000057                 | John Smith<br>London Street,<br>Cambridge<br>UK | 25.03.2018 |                          | Т 33                   |   | J Müller<br>Rathausstrasse, Hanover<br>DE | Jim Proxy<br>Watermaalpad<br>Amsterdam<br>NL |  |
| E 253                 |                                   | Jackson Breeder<br>Riara Rd., Nairobi<br>KE     | 29.03.2018 | Klima                    |                        | TZ 2018-01-24                               |   |  |  |

<sup>&</sup>lt;sup>1</sup> The International Reference Number (IRN) is an identifier assigned by the UPOV PRISMA PBR Application tool. It follows WIPO ST.13 standard for application numbers. When the same variety is submitted for protection in different members of the Union, all corresponding application data will be assigned the same IRN (see <a href="http://www.wipo.int/export/sites/www/standards/en/pdf/03-13-01.pdf">http://www.wipo.int/export/sites/www/standards/en/pdf/03-13-01.pdf</a>).

<sup>&</sup>lt;sup>2</sup> The address should be sufficiently complete to ensure delivery by the postal service. Telephone number(s) and e-mail address(es) are optional.

<sup>&</sup>lt;sup>3</sup> The format of dates should follow WIPO ST.2 standard (see http://www.wipo.int/export/sites/www/standards/en/pdf/03-02-01.pdf).

<sup>&</sup>lt;sup>4</sup> Codes for States/Organizations should follow WIPO ST.3 standard (see <a href="http://www.wipo.int/export/sites/www/standards/en/pdf/03-03-01.pdf">http://www.wipo.int/export/sites/www/standards/en/pdf/03-03-01.pdf</a>).

<sup>&</sup>lt;sup>5</sup> The address of procedural representatives, agents or proxies is provided since it might be more convenient, in the case of certain announcements, for a user of the publication to correspond with the procedural representative, agent or proxy than the applicant. This will be the case particularly where the address of the applicant is in a foreign State. The address should be sufficiently complete to ensure delivery by the postal service. Telephone number(s) and e-mail address(es) are optional.

# Proposed variety denominations

Chrysanthemum Chrysanthemum L. (UPOV Code: CHRYS)

| Application<br>Number | International<br>Reference Number |  | Proposed<br>Denomination | Date of Filing | Breeder's<br>Reference | Person who bred, or discovered<br>and developed, the variety (if<br>different from applicant) | Procedural<br>Representative/<br>Agent/Proxy | Variety<br>Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|--|--------------------------|----------------|------------------------|---|--|--|
| C12                   |                                   | Rosier et Cie<br>Rue Berri, Montréal<br>CA       | Queen                    | 12.03.2018     |                        | Karl Züchter<br>Mattenhofstr., Bern<br>CH   |  |  |
| C13                   |                                   | <b>Rosa García</b><br>Av. Villaran, Lima<br>PE   | Rio Rita                 | 15.03.2018     |                        |   |  |  |
| C 14                  | XU_302018000000112                | Emma Janssens<br>Rue de Stassart, Brussels<br>BE | Marquise                 | 17.03.2018     |                        |   |  |  |

| Application<br>Number | International<br>Reference Number | Applicant                                    | Proposed<br>Denomination | Date of Filing | Reference | discovered and                            | Procedural<br>Representative/<br>Agent/Proxy | Variety<br>Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|--|--------------------------|----------------|-----------|---|--|--|
| E 250                 | XU_30201800000057                 | John Smith<br>London Street, Cambridge<br>UK | Tatu                     | 25.03.2018     | T 33      | J Müller<br>Rathausstrasse, Hanover<br>DE | Jim Proxy<br>Watermaalpad, Amsterdam<br>NL   |  |
| E 253                 |                                   | Jackson Breeder<br>Riara Rd., Nairobi<br>KE  | Klima                    | 29.03.2018     |           |   |  |  |

# Grants of breeders' rights and approved denominations

Chrysanthemum Chrysanthemum L. (UPOV Code: CHRYS)

| Application<br>Number | International<br>Reference Number | l   | Holder of the<br>Breeder's Right                    | Date of<br>Filing | Approved<br>Variety<br>Denomination | Date of Grant | Person who bred, or<br>discovered and<br>developed, the variety<br>(if different from holder<br>of the breeder's right) | Procedural<br>Representative/<br>Agent/Proxy | Variety<br>Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|-----|---|-------------------|-------------------------------------|---------------|---|--|--|
| C 12                  |                                   | 110 | Karl Züchter<br>Mattenhofstr., Bern<br>CH           | 12.03.2018        | Queen                               | 15.07.2019    |   | Olle Ombud<br>Bygatan, Solna<br>SE           |  |
| C 14                  | XU_302018000000112                | 112 | Emma Janssens<br>Rue de Stassart,<br>Brussels<br>BE | 17.03.2018        | Baronne                             | 20.07.2019    |   |  |  |

Wheat

| Application<br>Number | International Reference<br>Number | Title Grant<br>Number | Holder of the<br>Breeder's Right                   | Date of<br>Filing | Approved<br>Variety<br>Denomination | Date of Grant | Person who bred, or<br>discovered and<br>developed, the variety<br>(if different from holder<br>of the breeder's right) | Procedural<br>Representative/<br>Agent/Proxy  | Variety<br>Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|-----------------------|--|-------------------|-------------------------------------|---------------|---|---|--|
| E 250                 | XU_30201800000057                 | 100                   | John Smith<br>London Street,<br>Cambridge<br>UK    | 25.03.2018        | Tatu                                | 15.07.2020    | J Müller<br>Rathausstrasse, Hannover<br>DE  | Jim Proxy<br>Watermaalpad,<br>Amsterdam<br>NL |  |
| E 253                 |                                   | 103                   | <b>Jackson Breeder</b><br>Riara Rd., Nairobi<br>KE | 29.03.2018        | Klim                                | 29.07.2020    |   |   |  |

# Withdrawal or rejection of applications for breeders' rights

# Applications withdrawn

Chrysanthemum

Chrysanthemum L. (UPOV Code: CHRYS)

| Application Number | International Reference Number | Applicant                               | Date of Filing | Breeder's Reference | Proposed Denomination | Date Withdrawn |
|--------------------|--------------------------------|---|----------------|---------------------|-----------------------|----------------|
| C 13               |                                | Rosa García<br>Av. Villaran, Lima<br>PE | 15.03.2018     |                     | Rio Rita              | 21.05.2018     |

Wheat

Triticum aestivum L. emend. Fiori et Paol. (UPOV Code: TRITI\_AES)

| Application Number | International Reference Number | Applicant  | Date of Filing | Breeder's Reference | Proposed Denomination | Date Withdrawn |
|--------------------|--------------------------------|--|----------------|---------------------|-----------------------|----------------|
| E 251              |                                | Xiaoming Wang<br>Chao Yang Street, Beijing<br>CN | 27.03.2018     |                     | JINGMAI110            | 29.05.2018     |

# **Applications rejected**

Wheat

| Application Number | International Reference Number | Applicant                                   | Date of Filing | Breeder's Reference | Proposed Denomination | Date of Rejection |
|--------------------|--------------------------------|---|----------------|---------------------|-----------------------|-------------------|
| E 253              |                                | Jackson Breeder<br>Riara Rd., Nairobi<br>KE | 29.03.2018     |                     | Klima                 | 15.06.2018        |

## Termination of breeders' rights

Chrysanthemum

Chrysanthemum L. (UPOV Code: CHRYS)

| Title Grant Number | International Reference Number | Date of Grant | Holder of the Breeder's Right             | Approved Variety Denomination | Date of Termination | Cause of termination |
|--------------------|--------------------------------|---------------|---|-------------------------------|---------------------|----------------------|
| 110                |                                | 15.07.2019    | Karl Züchter<br>Mattenhofstr., Bern<br>CH | Queen                         | 28.10.2024          | Renunciation         |

Wheat

Triticum aestivum L. emend. Fiori et Paol. (UPOV Code: TRITI\_AES)

| Title Grant Number | International Reference Number | Date of Grant | Holder of the Breeder's Right                | Approved Variety Denomination | Date of Termination | Cause of termination |
|--------------------|--------------------------------|---------------|--|-------------------------------|---------------------|----------------------|
| 100                | XU_30201800000057              | 15.07.2020    | John Smith<br>London Street, Cambridge<br>UK | Tatu                          | 25.09.2030          | Cancellation         |

## Changes to variety denominations

# Changes to proposed variety denominations

Chrysanthemum

Chrysanthemum L. (UPOV Code: CHRYS)

|      | International<br>Reference Number | Applicant  | i Previous<br>Proposed<br>Variety<br>Denomination | Denomination | Proposal    | Representative | Variety<br>Descriptions and<br>Photographs |
|------|-----------------------------------|--|---|--------------|-------------|----------------|--|
| C 14 | XU_302018000000112                | Emma Janssens<br>Rue de Stassart, Brussels<br>BE | Marquise  | Baronne      | 25.07. 2018 |                |  |

## Wheat

|       | International<br>Reference Number |   | Previous<br>Proposed Variety<br>Denomination | Proposed New<br>Variety<br>Denomination | Proposal    | J 7 | Procedural<br>Representative/<br>Agent/Proxy | Variety<br>Descriptions and<br>Photographs |
|-------|-----------------------------------|---|--|---|-------------|-----|--|--|
| E 253 | XU_30201800000057                 | Jackson Breeder<br>Riara Rd., Nairobi<br>KE | Klima  | Klim                                    | 29.07. 2018 |     | Martin Lopez<br>Calle Sabal, Madrid<br>ES    |  |

## Proposals to change denominations of protected varieties

Wheat

Triticum aestivum L. emend. Fiori et Paol. (UPOV Code: TRITI\_AES)

| Title Grant<br>Number | International Reference<br>Number | Date of Grant | Holder of the Breeder's Right                |      | Proposed New<br>Variety Denomination | · ·        | Variety Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|---------------|--|------|--------------------------------------|------------|---|
| 100                   | XU_30201800000057                 | 15.07. 2020   | John Smith<br>London Street, Cambridge<br>UK | Tatu | Jupiter                              | 26.10.2030 |   |

## Approvals of new variety denominations of protected varieties

Wheat

Triticum aestivum L. emend. Fiori et Paol. (UPOV Code: TRITI\_AES)

| Title Grant<br>Number | International<br>Reference Number |             | Holder of the<br>Breeder's Right             | Previous Variety<br>Denomination | New Variety<br>Denomination | Date of Approval | Variety Descriptions and Photographs |
|-----------------------|-----------------------------------|-------------|--|----------------------------------|-----------------------------|------------------|--------------------------------------|
| 100                   | XU_30201800000057                 | 15.07. 2020 | John Smith<br>London Street, Cambridge<br>UK | Tatu                             | Jupiter                     | 28.11. 2030      |                                      |

# Changes concerning applicants, holders of breeders' rights or their representatives

## Changes concerning applicants or representatives

Chrysanthemum

Chrysanthemum L. (UPOV Code: CHRYS)

| Application<br>Number | International<br>Reference<br>Number | Proposed<br>Denomination | Previous Applicant                          | New Applicant                              | Previous<br>Procedural<br>Representative/<br>Agent/Proxy | Representative/                     | Date of<br>Change | Date of<br>Filing | Variety<br>Descriptions and<br>Photographs |
|-----------------------|--------------------------------------|--------------------------|---|--|--|-------------------------------------|-------------------|-------------------|--|
| C 12                  |                                      | Queen                    | Rosier et Cie<br>Rue Berri, Montreal,<br>CA | Karl Züchter<br>Mattenhofstr., Bern,<br>CH |  | Olle Ombud<br>Bygatan, Solna,<br>SE | 21.07.2018        | 12.03.2018        |  |

#### Wheat

Triticum aestivum L. emend. Fiori et Paol. (UPOV Code: TRITI\_AES)

| Application<br>Number | International<br>Reference Number | Proposed<br>Denomination | Previous<br>Applicant                        | New<br>Applicant | Previous<br>Procedural<br>Representative/<br>Agent/Proxy | New Procedural<br>Representative/<br>Agent/Proxy | Date of<br>Change | Date of<br>Filing | Variety<br>Descriptions and<br>Photographs |
|-----------------------|-----------------------------------|--------------------------|--|------------------|--|--|-------------------|-------------------|--|
| E 253                 | XU_30201800000057                 | Klima                    | Jackson Breeder<br>Riara Rd., Nairobi,<br>KE |                  | none   | Martin Lopez<br>Calle Sabal, Madrid,<br>ES       | 22.07.2018        | 29.03.2018        |  |

## Changes concerning holders of breeders' rights or representatives

## Wheat

Triticum aestivum L. emend. Fiori et Paol. (UPOV Code: TRITI AES)

| Title Grant<br>Number | International<br>Reference<br>Number | Date of<br>Grant | Variety<br>Denomination |  | New Holder of the<br>Breeder's Right               |   | New Procedural<br>Representative/<br>Agent/Proxy | Change     | Variety<br>Descriptions<br>and<br>Photographs |
|-----------------------|--------------------------------------|------------------|-------------------------|--|--|---|--|------------|---|
| 100                   |                                      | 15.07.2020       | Jupiter                 | <b>John Smith</b><br>London Street, Cambridge,<br>UK | <b>J Müller</b><br>Rathausstrasse, Hannover,<br>DE | Jim Proxy<br>Watermaalpad Amsterdam<br>NL |  | 11.01.2031 |   |

[End of document]

The Office of the Union noted that, in section "Changes to variety denominations", subsection "Changes to proposed variety denominations", the title of the column "Variety Denomination" should read "Previous Proposed Variety Denomination", in accordance with the column "Previous Variety Denomination" under subsection "Approvals of new variety denominations of protected varieties". This correction has been reflected in document UPOV/INF/5/2 Draft 2, as explained in document C/53/3 "Adoption of documents" (paragraph 33).